

TCO Certified Smartphones 2.0



11 November 2015

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Certification Support

If you would like to certify your products and need support in understanding TCO Certified, this criteria document and the certification process, TCO Development's test and verification partners around the world are available to help clarify this document and assist you with certification in your native language.

For a list of accredited test and verification partners, contact certification@tcodevelopment.com or log onto www.tcodevelopment.com

Introduction

TCO Certified is an international third party sustainability certification for IT products. By choosing TCO Certified computers, Smartphones and other devices, businesses and organizations around the world are able to help meet environmental and social challenges associated with electronics.

Since the end of the 1980s, TCO Development has advanced the sustainable development of IT products. Today our international certification system – TCO Certified – makes it easier to choose sustainably designed and manufactured IT products such as displays, computers, smartphones and tablets. TCO Certified is a third party certification, Type 1 Eco Label according to ISO14024.

Sustainability in all life cycle phases

Electronics are associated with many different sustainability risks throughout the life cycle, including manufacturing, use and end of life phases. Criteria in TCO Certified aim to address many of these challenges throughout the life cycle, making it the most comprehensive third party certification for IT products. For each criteria area in this document, the relevant life cycle phase is indicated by the following icons:



Criteria – Manufacturing phase
Socially responsible manufacturing, environmental management system.



Criteria – use phase
Climate, ergonomics, health and safety, extended product life and emissions.



Criteria – end of life phase
Reduction of hazardous content and chemicals, design for recycling

With every major update we aim to enhance the criteria in line with technology innovation and sustainability challenges. Updates are a result of dialog with key stakeholders, such as purchasers, users, industry, and subject matter experts. This criteria document, TCO Certified Smartphones 2.0, is the second version of TCO Development's certification of Smartphones. Moving forward, subsequent versions, 2.1, 2.2 etc., may be released. However, these are to be considered only as updates within the second version, with improved precision of the mandates and test methods.

Citing from these criteria (e.g. in procurement contracts) is permitted, provided that the source is disclosed and the extent of the quotation is consistent with sound copyright practice. For further information, please visit www.tcodevelopment.com.

TCO Development, Stockholm, November, 2015

A Criteria

A.1 General information

This document contains requirements, test methods and references for Smartphones for display sizes $\geq 3''$ to $\leq 6''$. The intended use of a Smartphone is portable computing and mobile communication.

Smartphone definition

For the purpose of this document a **Smartphone** is an electronic device used for long-range communication over a cellular network of specialized base stations known as cell sites. It must also have functionality similar to a wireless, portable computer that is primarily for battery mode usage and has a touch screen interface. Connection to mains via an external power supply is considered to be mainly for battery charging purposes and an onscreen virtual keyboard or a digital pen is in place of a physical keyboard.

The criteria document setup

The aim of this criteria document is to provide relevant criteria and test methods for the actual life cycle of the product. This criteria document consists of two parts; Part A- the mandated criteria and Part B - clarifications and test methods.

Mandate compliance

Compliance with the mandates in this criteria document can be achieved in one of two ways; either through a test report or through a verification report.

1. A test report is defined as a report based on:
 - Testing conducted by the facility issuing the test report for the product identified in the report.
2. A verification report is defined as a summary report and a verdict (pass or fail) based on either:
 - A test report issued by the same facility
 - A test report issued by a different facility.
 - Declarations from the Company or Brand owner applying for the certificate.

The options accepted by TCO Development for each criterion can be found under each mandate.

A.1.1 Information to End-Users

Background

It is important that the purchaser of a product that has been certified in accordance with TCO Certified Smartphones receive information concerning the quality, features and capabilities of the product. This information is based on the viewpoint from the user's perspective that TCO Development represents.

Applicability

All Smartphones.

Life Cycle Phase



Clarification

[See B.1.1](#)

References

The contract between TCO Development and the Applicant/Brand owner.

Mandate A.1.1:

An information document called “TCO Certified Document” provided by TCO Development shall accompany the product to describe why these particular criteria have been chosen for the products within the TCO Certified program, and what is expected to be achieved by them. The document shall be written in English or the native language where the product is to be sold.

Examples of how the document can accompany the product:

- As a separate printed document.
- As a digital file or printed in the user manual.
- As a direct link from the user manual or digital file to the document on the manufacturer’s web site.

Submit the following to an approved verifier:

1. Information on how the TCO Certified Document accompanies the product
2. A written guarantee that the above mandate is fulfilled. The document shall be signed by the responsible person at the applicant company.

Submit the following together with the application to TCO Development:

A copy of a verification report from a test facility approved by TCO Development.

We hereby guarantee that the above mandate is fulfilled.

..... Product brand name Model name(s)
..... Signature Name and title in block capitals
..... Date Company

A.2 Visual ergonomics

Good visual ergonomics is a very important aspect of quality that can also have a direct effect on the health, comfort and performance of the user. Good ergonomics, such as a high quality display image, can also influence our productivity and extend the usable life of a product. In this way, ergonomic design can also offer sustainability benefits.

In developing criteria for visual ergonomics, the possible health effects of various parameters have been taken into account. Other features that characterise high quality displays have also been in focus when developing these criteria.

TCO Development used three main methodologies to determine suitable levels and test methods for the visual ergonomics criteria:

1. Acceptable visual levels, as determined by scientific research.
2. Statistics from tests carried out in accordance with TCO Development, ISO, MPR regulations and from specialized VDU tests.
3. Manufacturers' knowledge and experience, which is invaluable.
Manufacturers, consumer groups and other organisations with interests in the visual ergonomics field have contributed a great deal of valuable information and ideas throughout the development process.

Life Cycle Phase



A.2.1 Luminance characteristics

A.2.1.1 Luminance level

Background

It shall be possible to set the luminance level according to the lighting conditions of the surroundings. Poor luminance can lead to low contrast and consequently affect legibility and colour discrimination and thus lead to misinterpretations. It shall be possible to set a sufficiently high luminance level with respect to the ambient lighting in order to present a comfortable viewing situation and to avoid eyestrain.

Definition

Luminance being emitted from a particular area is a measure of the luminous intensity per unit area of light travelling in a given direction and falls within a given solid angle.

The unit of luminance is cd/m^2 .

Applicability

All Smartphones.

Test procedure

[See B.2.1.1.](#)

References

1, 2, 18, 22, 28 and 32.

Mandate A.2.1.1:

The following conditions shall be fulfilled:

- The maximum white *luminance* shall be $\geq 200 \text{ cd/m}^2$ at 80% image loading.
- The minimum white *luminance* shall be $\leq 100 \text{ cd/m}^2$ at 80% image loading

Submit the following together with the application to TCO Development:

A copy of a test report and a verification report from a test facility approved by TCO Development.

A.2.1.2 Luminance uniformity

Background

Image quality is badly affected by non-uniform luminance. When poor luminance uniformity is visible, it can locally affect the contrast and consequently the legibility of information on the display. The areas of deviating luminance can have different sizes and cause varying contour sharpness.

Definition

Luminance uniformity is the capacity of the display to maintain the same luminance level over the whole active screen area. The luminance uniformity is defined as the ratio of maximum to minimum luminance within the fully active screen area.

Applicability

All Smartphones.

Test procedure

[See B.2.1.2.](#)

References

1, 2, 16, 18, 22, 23, 28, 33 and 34.

Mandate A.2.1.2:

Luminance variation across the active screen, the L_{\max} to L_{\min} ratio, shall be ≤ 1.20 .

Submit the following together with the application to TCO Development:

A copy of a test report and a verification report from a test facility approved by TCO Development.

A.2.1.3 Greyscale gamma curve

Background

A TCO Certified Smartphone shall be delivered with a sufficiently accurate calibrated gamma curve in default preset since it makes it easier to distinguish between different light levels in the display image. A well-tuned greyscale is the basis for accurate detail rendering of any imaging device. The greyscale rendering is measured via a number of steps in a greyscale in the test image. Each greyscale step, regardless of grey level, shall have a relative luminance close to what is specified by common video standards sRGB and ITU Rec709 in order to give accurate rendering of the greyscale of the original image.

Definition

Greyscale gamma curve is the capability of the imaging device to maintain the original greyscale luminance of a greyscale pattern at all tested greyscale levels.

Applicability

All Smartphones.

Test procedure

[See B.2.1.3](#)

References

11, 12, 13 and 14.

Mandate A.2.1.3:

The different grey scale luminance levels shall be within the Max- and Min levels according to the table below, where 100% means the luminance level measured for white, RGB 255, 255, 255.

Grey level	L _{sRGB} %	L _{max} %	L _{min} %
255	100	100	100
225	75	80	71
195	55	62	48
165	38	47	30
135	24	33	18
105	14	22	9
75	7	13	4
45	3	6	1

Submit the following together with the application to TCO Development:

A copy of a test report and a verification report from a test facility approved by TCO Development.

A.2.2 Screen colour characteristics

A.2.2.1 Correlated colour temperature, CCT variation

Background

The colour of a white area in nature could be neutral, warmer or colder dependent of e.g. the weather and lighting conditions. This is called the colour temperature of the white. The colour temperature of the display should be about the same as of the ambient lighting conditions. This makes it possible to more accurately evaluate the colour of an image on the display compared to real scenes or prints. Normal daylight has a correlated colour temperature in the range 5000 – 10000 K.

Definition

The correlated colour temperature (CCT) is the temperature of the Planckian radiator whose perceived colour most closely resembles that of a given stimulus at the same brightness and under specified viewing conditions. It is expressed in kelvin (K).

Applicability

All Smartphones.

Test procedure

[See B.2.2.1.](#)

References

2, 3, 4, 8, 9, 10, 11, 14, 15, 25, 26, 27, 29, 31 and 35.

Mandate A.2.2.1:

The default *correlated colour temperature* of the active display shall be in the range 5000K to 10000K.

Submit the following together with the application to TCO Development:

A copy of a test report and a verification report from a test facility approved by TCO Development.

A.2.2.2 Colour uniformity

Background

The human visual system is very sensitive to changes in colour hue in white and grey areas. Since the white or grey colour hues are the background on which most colours are judged, the white or grey areas are the reference colours on the screen.

Patches of colour variation on an active white or grey screen could reduce the contrast locally, be disturbing and affect the legibility, colour rendering and colour differentiation.

Definition

The *colour uniformity* of a display is the capability to maintain the same colour in any part of the screen.

Applicability

All Smartphones.

Test procedure

[See B.2.2.2.](#)

References

2, 8, 14, 15, 24, 26, 30 and 36.

Mandate A.2.2.2:

The maximum colour deviation between measured active areas on the screen that are intended to maintain the same colour shall be $\Delta u'v' \leq 0.012$

Submit the following together with the application to TCO Development:

A copy of a test report and a verification report from a test facility approved by TCO Development.

A.2.2.3 RGB settings

Background

Accurate colour rendering is important when realistic colour images or colour presentations are presented on the display. Poor colour rendering can lead to poor legibility and misinterpretation. The u' and v' chromaticity co-ordinates of the primary colours red (R), green (G) and blue (B) of the screen shall aim at values given in international IEC, EBU and ITU standards. The u' and v' chromaticity co-ordinates of the primary colours R, G and B form a triangle in the CIE 1976 uniform chromaticity scale diagram. The larger the area of the triangle, the wider the range of colours the screen is capable of presenting.

The colour characteristics of a display are based on the visual appearance of the display's primary colour stimuli, the R, G, B-stimuli.

Definition

The RGB colour model is an additive colour model in which red, green, and blue light are added together in various ways to reproduce a broad array of colours.

Applicability

All Smartphones.

Test procedure

[See B.2.2.3.](#)

References

3, 4, 8, 9, 10, 11, 12, 13, 14, 15, 26, 27, 29, 31 and 35.

Mandate A.2.2.3:						
The minimum colour triangle shall have the following coordinates:						
	Red		Green		Blue	
Co-ordinate	u'	v'	u'	v'	u'	v'
Requirement	≥ 0.375	≥ 0.503	≤ 0.160	≥ 0.548	≥ 0.135	≤ 0.305
Submit the following together with the application to TCO Development: A copy of a test report and a verification report from a test facility approved by TCO Development.						

A.3 Workload ergonomics

Life Cycle Phase



A.3.1 Material Characteristics

Background

Skin allergies, in the form of rash or inflammation, may happen when the skin comes in contact with substances that irritate the skin. It is medically termed as “contact dermatitis”. Nickel is a well-known contact allergen and irritant, which may cause skin reactions upon exposure, including itching, irritation, inflammation or the appearance of rashes.

Definition

Normal use is considered as the operation descriptions given in the product’s user manual/guides.

Applicability

All Smartphones.

Clarification

[B.3.1](#)

References

12, 13 and 14

Mandate A.3.1:

The Smartphone shall not release nickel from the surfaces that come in contact with user's skin during normal use

For the maximum value see B.3.1

Submit the following to the verifier at the test facility:

A written guarantee that the above mandate is fulfilled. The guarantee shall be signed by the responsible person at the applicant company.

Submit the following together with the application to TCO Development:

A copy of a verification report from a test facility approved by TCO Development.

We hereby guarantee that the above mandate is fulfilled.

.....
Product brand name

.....
Model name(s)

.....
Signature

.....
Name and title in block capitals

.....
Date

.....
Company

A.3.2 Headset

Background

A headset provides hands-free smartphone communication. This has many benefits, especially in call centers and other telephone-intensive jobs and for anybody wishing to have both hands free during a telephone conversation. It also reduces the emissions from the smartphone towards the head as it can be placed further away from the head while making a call.

Definition

A headset is headphones combined with a microphone, or one headphone with a microphone.

Applicability

All Smartphones designed for audio communication.

Mandate A.3.2:

The Smartphone shall be delivered with a headset to be used for audio communication over the cellular network.

Submit the following to the verifier at the test facility:

A written guarantee that the FPD meets the above mandate. The guarantee shall be signed by the responsible person at the applicant company.

Submit the following together with the application to TCO Development:

A copy of a verification report from a test facility approved by TCO Development.

We hereby guarantee that the above mandate is fulfilled.

.....
Product brand name

.....
Model name(s)

.....
Signature

.....
Name and title in block capitals

.....
Date

.....
Company

A.5 Electrical Safety

A.5.1 Electrical Safety

Background

Electrical safety concerns the electrical design of apparatus with respect to its electrical insulation and other arrangements that are intended to prevent accidents resulting from contact with live components, and the risk of fire or explosion as a result of electrical flash-over due to inadequate or faulty electrical insulation.

Applicability

All Smartphones with built-in power supplies as well as any separate power supply intended to be used together with the Smartphone.

Life Cycle Phase**References**

18.

Mandate A.5.1:

The Smartphone and the internal or external power supply/supplies shall be certified in accordance with EN/IEC 60 950 or EN/IEC 60065 or [EN 62368-1](#).

Submit the following together with the application to TCO Development:

A copy of the CB certificate or a national certificate from a CB member (NCB)

A.6 Environment

This section details the environmental criteria in TCO Certified, which offer a unique, integrated balance of environmental issues in the manufacturing, use and end of life phases of the product.

The environmental criteria are divided into the following sections:

1. Manufacturing – criteria focusing on the manufacturing phase and environmental management
2. Climate – energy consumption, one of the most important issues in the environmental impact of IT products.
3. Hazardous Substances – heavy metals, flame retardants, plastics.
4. Material resource efficiency – factors to extend the life of the product and influence better use of material resources.
5. End of life – factors to stimulate recycling and minimize the impact of e-waste.

Potential environmental effects are evident at each stage of the product life cycle. The environmental criteria TCO Development has focused on in this document are those that we consider most relevant to the product group. They have also proved to be attainable in volume manufacturing and are verifiable. Future criteria updates will likely focus on the manufacturing phase, hazardous substances and climate issues.

Compliance with these criteria is verified by sending the requested information to a verifier approved by TCO Development.

Life Cycle Phases



A.6.1 Product description

Background

The aim of this product description is to provide third party verified information about the product. The information is used by TCO Development to verify that the product complies with the criteria in TCO Certified.

The information is also provided on the certificate to buyers so that it helps them calculate the sustainability impact of the products and the benefit of buying products that fulfil TCO Certified.

Using the declared sustainability information a buyer can, for example, implement climate compensation or other sustainability-related measures connected to the sustainability impact of the product. This data is often used by organisations in their annual sustainability report or internal programs aimed at minimizing the environmental impact of IT.

Definition

Recycled plastic is post-consumer recycled plastic, which has been used in products.

Plastic parts are all product parts made out of plastic except panels, electronic components, cables, connectors, PWBs, insulating mylar sheets and labels. This is primarily due to insufficient available alternatives. This also means that the weight of these items is not included when calculating the total weight of the plastic in the product in this requirement.

Marking plate /Marking label is the label that contains the product's electrical rating in terms of voltage, frequency, current and the manufacturer's name, trademark or identification mark together with the manufacturer's model or type reference. The label shall be in accordance with IEC 60 950:1 clause 1.7.1.

Applicability

All Smartphones and the equipment specified in requirement A.1.1 supplied with them.

Life Cycle Phases



Clarification

[B.6.1](#)

References

44

Mandate A.6.1:

A product declaration shall be provided for the Smartphone. The following information shall be verified by the third party facility and is printed by TCO Development on the certificate.

Submit the following to an approved verifier:

1. The declaration below, completed where applicable.
2. A copy of the marking label for the Smartphone and any external power supply.

The information submitted shall be signed by the responsible person at the applicant company.

Submit the following together with the application to TCO Development:

A copy of a verification report including all information in the table below from a verifier approved by TCO Development and a copy of the marking label.

Product Declaration.

Smartphone	Information
Manufacturer	
Brand name	
Brand Owner	
Type/Model name	
Product Family name	

Panel	Information
Brand name	
Type/Model name	
Size and technology (e.g. TN type)	

External power supply: Brand & model name	Rating and Class

Battery: Brand & model name	Technology (e.g. Li-ion), rating & characteristics

Declared sustainability information

Percentage of <i>recycled plastic</i> by weight of total weight of plastic parts	
Total weight of the product and power supply (without packaging) in Kg	

We hereby guarantee that the above mandate is fulfilled.

..... Product brand name Model name(s)
..... Signature Name and title in block capitals
..... Date Company

A.6.2 Manufacturing

A.6.2.1 Environmental management system certification

Background

A certified environmental management system shows that the company has chosen to work in a systematic way with constant improvement of the environmental performance of the company and its products. A certified environmental management system includes external independent reviews.

Definitions

Manufacturing plant: Manufacturing facility where the final assembly of the TCO Certified product takes place.

Applicability

Company or companies manufacturing the Smartphone.

Life Cycle Phase



Clarification

[B.6.2.1](#)

References

45 and 46.

Mandate A.6.2.1:

Each *manufacturing plant* must be certified in accordance with ISO 14001, or EMAS registered. If the product is manufactured by a third party, it is this company that shall be certified or registered.

Submit the following to an approved verifier:

1. A document showing the names and addresses of the manufacturing plants.
2. Copy of the ISO 14001 certificate or EMAS registration from each manufacturing plant.
3. A written guarantee that the certificate/registration is valid and that the mandate above is fulfilled, signed by the responsible person at the applicant company.

Submit the following together with the application to TCO Development:

A copy of a verification report from a verifier approved by TCO Development.

We hereby guarantee that the above mandate is fulfilled.

..... Product brand name Model name(s)
..... Signature Name and title in block capitals
..... Date Company

A.6.3 Climate

A.6.3.1 Energy consumption

Background

Energy is the single most important topic in the issue of climate change. Energy efficient equipment is an important and effective way to fight climate change. With an ever-increasing volume of IT equipment in use, the efficiency of each product is vital. To reduce energy consumption from the Smartphone the external power supply shall comply with the International Efficiency Marking Protocol for External Power Supplies.

Applicability

All external power supplies

Life Cycle Phase



Clarification

[B.6.3.1](#)

References

52.

Mandate A.6.3.1:

The external power supply shall meet at least the International Efficiency Protocol requirement for level V.

Submit the following to the verifier at the test facility:

A copy of the marking label for the external power supply

Submit the following together with the application to TCO Development:

A copy of the marking label for the external power supply

A.6.4 Hazardous substances

A.6.4.1 Cadmium (Cd), mercury (Hg), lead (Pb) and hexavalent chromium (CrVI)

Background

The effects of cadmium, mercury, lead and hexavalent chromium are well documented as substances that are hazardous to both our health and the environment. Electronic devices contain hazardous substances like heavy metals and brominated flame retardants. This causes problems both in the use phase (additives can leak from the plastic and accumulate in dust, harming both our health and the environment) and at end-of-life, where uncontrolled recycling can cause the release of toxins such as dioxins and furans.

This criterion is harmonized with EU RoHS2 Directive (2011/65/EU), except that TCO Certified does not allow mercury in the display panel backlight. As TCO Certified is a global label this also affects products sold outside the EU.

Applicability

All Smartphones.

Life Cycle Phase



Clarification

[B.6.4.1](#)

References

54 and 55.

Mandate A.6.4.1:

The Smartphone shall not contain cadmium, mercury, lead and hexavalent chromium.

Submit the following to an approved verifier:

A written guarantee that the above mandate is fulfilled. The guarantee shall be signed by the responsible person at the applicant company.

Submit the following together with the application to TCO Development:

A copy of a verification report from a verifier approved by TCO Development.

We hereby guarantee that the above mandate is fulfilled.

.....
Product brand name

.....
Model name(s)

.....
Signature

.....
Name and title in block capitals

.....
Date

.....
Company

A.6.4.2 Halogenated substances

Background

Halogenated flame retardants and plasticizers are often persistent, can bio-accumulate in living organisms and have been detected in both humans and the environment. These substances are problematic in the manufacturing and end of life phases where workers or the environment can be exposed. They can also migrate from the products during the use phase with unknown health effects as a result.

Definitions

Plastic parts are parts made mainly of plastics, e.g. the housing. Parts containing other materials in any significant amounts, e.g. cables with metal conductors, are not included in the definition.

Printed wiring board laminate is a printed board that provides point-to-point connections but not printed components in a predetermined configuration on a common base.

Halogens are a group of five chemically related non-metallic elements in the Periodic Table; fluorine, chlorine, bromine, iodine and astatine.

Polybrominated biphenyls (*PBB*) and Polybrominated diphenyl ethers (*PBDE*) are restricted in the RoHS directive (2002/95/EC) due to the hazardous properties of these substances. Hexabromocyclododecane (*HBCDD*) has been identified as a Substance of Very High Concern in accordance with EU REACH criteria due to PBT (persistent, bio accumulative, toxic) properties.

Applicability

All Smartphones.

Clarification

[B.6.4.2](#)

References

55.

Mandate A.6.4.2:

1. *Plastic parts* weighing more than 5 grams shall not contain flame retardants or plasticizers that contain halogenated substances.

Note: This applies to plastic parts in all assemblies and sub-assemblies. Exempted are *printed wiring board laminates*, electronic components and all kinds of cable insulation.

2. The Smartphone shall not contain PBB, PBDE and HBCDD.

Note: This applies to components, parts and raw materials in all assemblies and sub-assemblies of the product e.g. batteries, paint, surface treatment, plastics and electronic components.

Submit the following to an approved verifier:

A written guarantee that the above mandate is fulfilled. The guarantee shall be signed by the responsible person at the applicant company.

Submit the following together with the application to TCO Development:

A copy of a verification report from a verifier approved by TCO Development.

We hereby guarantee that the above mandate is fulfilled.

.....
Product brand name	Model name(s)
.....
Signature	Name and title in block capitals
.....
Date	Company

A.6.4.3 Non-halogenated substances

Background

The purpose of this mandate is to increase the knowledge of substances with regards to their human and environmental impacts and to drive a shift towards less hazardous alternatives. These substances may be problematic in the manufacturing and end of life phase where workers or the environment can get exposed and can also migrate from the products during the use phase with unknown health effects as a result.

The mandate uses the hazard assessment and decision logic framework called GreenScreen™ for Safer Chemicals developed by the non-profit organization Clean Production Action (CPA). The GreenScreen methodology can be used for identifying substances of high concern and safer alternatives.

The GreenScreen criteria are in line with international standards and regulations including the Globally Harmonized System of Classification and Labelling of Chemicals (GHS), OECD testing protocols and the European REACH Regulation. The U.S. EPA's Design for Environment (DfE) Alternatives Assessment is also an important influence on the GreenScreen™ for Safer Chemicals.

Definitions

Plastic parts are parts made mainly of plastics, e.g. the housing. Parts containing other materials in any significant amounts, e.g. cables with metal conductors, are not included in the definition.

Printed wiring board laminate is a printed board that provides point-to-point connections but not printed components in a predetermined configuration on a common base.

Licensed Profilers are organisations approved by CPA with the capacity to provide GreenScreen assessments.

Accepted substances are considered the most sustainable alternatives which are possible for the industry to use, also taking into consideration aspects such as availability and functionality. Accepted substances are found on the TCO Development website under "*Accepted Substances list*".

Applicability

All Smartphones.

Clarification

[B.6.4.3](#)

Mandate A.6.4.3:

Non halogenated flame retardants used in plastic parts that weigh more than 5 grams shall be on the publically available Accepted Substance List for TCO Certified. This means that the substance has been assessed by a licensed profiler according to GreenScreen™ and been assigned a benchmark score ≥ 2

The following acceptance decisions apply to substances given Benchmarks 4, 3, 2, 1 or designated U (undefined):

4: Accepted – (Few concerns)

3: Accepted – (Slight concern)

2: Accepted – (Moderate concern)

1: Not accepted - (High concern)

U: Not accepted - (Unspecified)

All substances of a flame retardant mixture shall be accounted for. Non-accepted components shall not exceed concentration levels of 0.1% by weight of the flame retardant.

Exempted are *printed wiring board laminates*, electronic components and all kinds of cable insulation.

A grace period for the above may be granted, see B.6.4.3 for rules

TCO Development will conduct spot-checks and require full disclosure of the flame retardants, including CAS number, used in the product to verify that the obligations according to this mandate are fulfilled.

Submit the following to an approved verifier:

A written guarantee that the above mandate is fulfilled. The guarantee shall be signed by the responsible person at the applicant company.

Submit the following together with the application to TCO Development:

A copy of a verification report from a verifier approved by TCO Development.

We hereby guarantee that the above mandate is fulfilled.

.....
Product brand name

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Model name(s)

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Signature

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Name and title in block capitals

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Date

.....
Company

A.6.4.4 Halogenated plastics

Background

PVC is by far the most common halogen containing plastic. There are however other plastics that contain halogens in the plastic itself. Halogens are problematic from both a health and environmental perspective throughout the product life cycle and should be phased out.

Definitions

Plastic parts are parts made mainly of plastics, e.g. the housing. Parts containing other materials in any significant amounts, e.g. cables with metal conductors, are not included in the definition.

Printed wiring board laminate is a printed board that provides point-to-point connections but not printed components in a predetermined configuration on a common base.

Halogens are a group of five chemically related non-metallic elements in the Periodic Table; fluorine, chlorine, bromine, iodine and astatine.

Applicability

All Smartphones.

References

54 and 58.

Mandate A.6.4.4:

Plastic parts in the Smartphone weighing more than 5 grams shall not contain intentionally added halogens as a part of the polymer.

Note: *Printed wiring board laminates*, and all kinds of internal and external cable insulation are not considered to be part of *plastic parts* and are therefore not included in the mandate.

Submit the following to an approved verifier:

A written guarantee that the above mandate is fulfilled. The guarantee shall be signed by the responsible person at the applicant company.

Submit the following together with the application to TCO Development:

A copy of a verification report from a verifier approved by TCO Development.

We hereby guarantee that the above mandate is fulfilled.

.....
Product brand name

.....
Model name(s)

.....
Signature

.....
Name and title in block capitals

.....
Date

.....
Company

A.6.4.5 Phthalates

Background

Phthalates are substances mainly used as plasticizers. The substances restricted in the mandate are listed as Substances of Very High Concern and are included in REACH Annex XIV classified as toxic to reproduction. These substances are problematic from both a health and environmental perspective throughout the product life cycle and should be phased out.

Applicability

All Smartphones.

Clarification

[B.6.4.5](#)

References

7, 11, 64, 65, 66 and 67

Mandate A.6.4.5:

The Smartphone shall not contain Bis (2-ethylhexyl) phthalate (DEHP), Butyl benzyl phthalate (BBP), Dibutyl phthalate (DBP), and Diisobutyl phthalate (DIBP). No parts of the product are exempted.

Also diisononyl phthalate (DINP, CAS no. 28553-12-0), diisodecyl phthalate (DIDP, CAS no. 26761-40-0) and di-n-octyl phthalate (DNOP, CAS no. 117-84-0)

Submit the following to an approved verifier:

A written guarantee that the above mandate is fulfilled. The guarantee shall be signed by the responsible person at the applicant company.

Submit the following together with the application to TCO Development:

A copy of a verification report from a verifier approved by TCO Development.

We hereby guarantee that the above mandate is fulfilled.

.....
Product brand name

.....
Model name(s)

.....
Signature

.....
Name and title in block capitals

.....
Date

.....
Company

A.6.4.6 Hazardous substances in product packaging

Background

Packaging constitutes a well-known environmental problem and is regulated in many countries worldwide. Packaging material has a short lifetime and generates large volumes of waste.

There are three main areas of concern, content of hazardous substances, use of resources and transport volume.

Applicability

All packaging material.

Clarification

[B.6.4.6](#)

References

62.

Mandate A.6.4.6:

The packaging material shall not contain lead (Pb), cadmium (Cd), mercury (Hg) or hexavalent chromium (Cr6).

Plastic packaging material shall not contain organically bound halogens.

Submit the following to an approved verifier:

A written guarantee that the mandate above is fulfilled. The guarantee shall be signed by the responsible person at the applicant company.

Submit the following together with the application to TCO Development:

A copy of a verification report from a verifier approved by TCO Development.

We hereby guarantee that the above mandate is fulfilled.

.....
Product brand name	Model name(s)
.....
Signature	Name and title in block capitals
.....
Date	Company

A.6.4.7 Batteries

Background

The widespread use of batteries has given rise to many environmental concerns, such as toxic metal pollution, as they may contain very high amounts of lead, cadmium and mercury. Used batteries also contribute to electronic waste.

In the United States, the Mercury-Containing and Rechargeable Battery Management Act of 1996 banned the sale of mercury-containing batteries, enacted uniform labeling requirements for rechargeable batteries, and required that rechargeable batteries be easily removable. The Battery Directive of the European Union has similar requirements, in addition to requiring increased recycling of batteries.

Note that restrictions on hazardous substances in batteries are covered by A.6.4.1 Hazardous substances

Applicability

All Smartphones.

References

54

Mandate A.6.4.7:

Batteries shall be rechargeable and when necessary, replaceable by the end user or a qualified professional.

The following information shall be submitted to an approved verifier:

A written guarantee that the above mandate is fulfilled. The guarantee shall be signed by the responsible person at the applicant company.

Submit the following together with the application to TCO Development:

A copy of a verification report from a verifier approved by TCO Development.

We hereby guarantee that the above mandate is fulfilled.

..... Product brand name Model name(s)
..... Signature Name and title in block capitals
..... Date Company

A.6.5 Material Resource Efficiency

A.6.5.1 Lifetime extension

Background

A longer product lifetime makes a significant positive contribution to more efficient resource use as well as the reduction of air and water pollution. A pre-condition for prolonged lifetime is that the product is of high quality, which is supported by good warranties. Another requirement is the availability of spare parts for a number of years once the product is taken out of production. During this period, products should, if possible, be repaired and not replaced.

Definitions

Brand owner: The company or organization owning or controlling the *Brand Name*.

Brand Name: The name or sign, including but not limited to a trademark or company name, used to identify, amongst users and customers, the manufacturer or seller of a product.

Product Warranty is a period where the Brand owner offers to repair or replace broken products during a period of time at no charge.

Spare parts are those parts that have the potential to fail during normal use of the product. Product parts whose life cycle usually exceeds the average usual life of the product need not be provisioned as spare parts. When the cost for replacing a broken part (e.g. panel) exceeds the cost of replacing the whole product, then that part need not be considered as a spare part under this mandate.

Applicability

All Smartphones.

Life Cycle Phases



Clarification

[B.6.5.1](#)

Mandate A.6.5.1:

1. The **brand owner** shall provide a **product warranty** for at least one year on all markets where the product is sold.
2. The **brand owner** shall guarantee the availability of **spare parts** for at least three years from the time that production ceases. Instructions on how to replace these parts shall be available to professionals upon request.

Submit the following to an approved verifier:

A written guarantee that the above mandate is fulfilled. The guarantee shall be signed by the responsible person at the *brand owner* company.

Submit the following together with the application to TCO Development:

A copy of a verification report from a verifier approved by TCO Development.

We hereby guarantee that the above mandate is fulfilled.

.....
Product brand name

.....
Model name(s) or “All products”

.....
Signature

.....
Name and title in block capitals

.....
Date (Declaration valid 1 year from date)

.....
Brand Owner Company

A.6.6 End of life

A.6.6.1 Material coding of plastics

Background

Prolonging the life of IT-products by reuse is the best way to minimize their environmental impact. But when this is no longer possible, it is important to facilitate material recycling of the products. Material coding of plastics aims at making the recycling of plastics easier so that the plastic can be used in new IT equipment.

Definitions

Plastic parts are parts made mainly of plastics, e.g. the housing. Parts containing other materials in any significant amounts, e.g. cables with metal conductors, are not included in the definition.

Printed wiring board laminate is a printed board that provides point-to-point connections but not printed components in a predetermined configuration on a common base.

Applicability

All Smartphones.

Life Cycle Phase



Clarification

[B.6.6.1](#)

References

56, 59 and 60.

Mandate A.6.6.1:

Plastic parts weighing more than 5 grams shall be material coded in accordance with ISO 11469 and ISO 1043-1, -2, -3, -4.

Exempted are *printed wiring board laminates*.

The following information shall be submitted to an approved verifier:

A written guarantee that the above mandate is fulfilled. The guarantee shall be signed by the responsible person at the applicant company.

The following information shall be submitted with the application to TCO Development:

A copy of a verification report from a verifier approved by TCO Development.

We hereby guarantee that the above mandate is fulfilled.

.....
Product brand name

.....
Model name(s)

.....
Signature

.....
Name and title in block capitals

.....
Date

.....
Company

A.6.6.2 Take back system

Background

The amount of electronic waste in the world today is enormous and a growing environmental problem. It is important that manufacturers provide mechanisms to take back their equipment at end-of-life under the principle of individual producer responsibility wherein each manufacturer must be financially responsible for managing its own branded products at end-of-life. Currently much electronic waste is being exported to developing countries where it is managed unsustainably and disproportionately burdens those regions with this global environmental problem. The Basel Convention and its decisions govern the export of many types of electronic waste, however it is not properly implemented in all countries. With this mandate TCO Development aims to influence the expansion of better electronic waste management practices to more countries.

Definition

Brand owner is the company that owns the brand name visible on the product.

Take back system is a system that makes sure that the customer can return used products to be recycled. The system can be with or without a fee.

Environmentally acceptable recycling methods are:

- Product and component reuse
- Material recycling with secured handling of hazardous chemicals and heavy metals
- Pollution-controlled energy recovery of parts of the product

Applicability

All Smartphones.

Clarification

[B.6.6.2](#)

References

61.

Mandate A.6.6.2:

The *brand owner* (or its representative, associated company or affiliate) shall offer their customers the option to return used products for *environmentally acceptable recycling methods* in at least one market where the product is sold and where electronics take back regulation is not in practice at the date of application.

The following information shall be submitted to an approved verifier:

The information stated in the list below shall be submitted and the guarantee signed by the responsible person at the *brand owner* company.

The following information shall be submitted with the application to TCO Development:

A copy of a verification report from a verifier approved by TCO Development.

The requirement can be fulfilled by one of the following options (to be verified):

- ☐ 1. Product only sold on markets with WEEE legislation or similar
- ☐ 2. World-wide product take back*
- ☐ 3. Take back is offered on one additional market where the product is sold and lacks WEEE legislation*
Name of market.....

*The brand owner shall also submit a short description, to an approved eco-verifier, of the take back system or reference to the representative, associated company or affiliate taking care of the take-back system

We hereby guarantee that the above mandate is fulfilled.

..... Product brand name Model name(s) or “All products”
..... Signature Name and title in block capitals
..... Date (Declaration valid 1 year from date) Brand Owner Company

A.6.6.3 Preparation for recycling of product packaging material

Background

Packaging constitutes a well-known environmental problem and is regulated in many countries worldwide. Packaging material has a short lifetime and generates large volumes of waste.

There are three main areas of concern; hazardous substance content, use of resources and transport volume.

Applicability

All packaging material.

Applicability

All packaging material.

Definition

Brand owner is the company that owns the brand name visible on the product.

Mandate A.6.6.3:

Non-reusable packaging components weighing more than 5 grams shall be possible to separate into single material types without the use of tools.

Exempted is reusable packaging.

The following information shall be submitted to an approved verifier:

A written guarantee that the mandate above is fulfilled. The guarantee shall be signed by the responsible person at the *brand owner* company.

The following information shall be submitted with the application to TCO Development:

A copy of a verification report from a verifier approved by TCO Development.

We hereby guarantee that the above mandate is fulfilled.

.....
Product brand name

.....
Model name(s) or "All products"

.....
Signature

.....
Name and title in block capitals

.....
Date (Declaration valid 1 year from date)

.....
Brand Owner Company

DECLARATION FORM FOR TCO CERTIFIED SMARTPHONES 2.0 ENVIRONMENTAL REQUIREMENTS

Applicant company

By signing this Declaration Form the Company confirms that the Company has read and accepts to be bound by the below listed environmental requirements as stated in this criteria document. The signature of this form is to be considered equal to a signature under each of the below listed individual mandates in this criteria document. The text in this form is compressed to save space so please make sure to read the full explanation under each mandate. Check the boxes for the documents that have been attached to this form.

- A.1.1 TCO Certified Document
- A.6.1 Product description
 - ☐ Completed product declaration form
 - ☐ Copy of the marking label for Smartphone and external power supply
- A.6.2.1 Environmental management system certification
 - ☐ Addresses of manufacturing plants
 - ☐ Valid EMAS certificate or ISO 14001 certificate
- A.6.4.1 Cadmium, mercury, lead and hexavalent chromium
- A.6.4.2 Halogenated substances
- A.6.4.3 Non-halogenated substances
- A.6.4.4 Halogenated plastics
- A.6.4.5 Phthalates
- A.6.4.6 Hazardous substances in product packaging
- A.6.4.7 Batteries
- A.6.6.1 Material coding of plastics
- A.6.6.3 Preparation for recycling of product packaging material

We hereby guarantee that the above mandate is fulfilled.

..... Product brand name Model name(s)
..... Signature Name and title in block capitals
..... Date Company

DECLARATION FORM FOR TCO CERTIFIED SMARTPHONES 2.0 ENVIRONMENTAL REQUIREMENTS

Brand owner

By signing this Declaration Form the Company confirms that the Company has read and accepts to be bound by the below listed environmental requirements as stated in this criteria document. The signature of this form is to be considered equal to a signature under each of the below listed individual mandates in this criteria document. The text in this form is compressed to save space so please make sure to read the full explanation under each mandate. Cross the boxes for the documents that have been attached to this form.

A.6.5.1 Lifetime extension

A.6.6.2 Take back system

☐ 1. Product only sold on markets with WEEE legislation or similar

☐ 2. World-wide product take back*

☐ 3. One additional market lacking WEEE legislation where product take back is offered*

* ☐ Short description or reference of the above

We hereby guarantee that the above mandate is fulfilled.

.....
Product brand name

.....
Model name(s) or "All products"

.....
Signature

.....
Name and title in block capitals

.....
Date (Declaration valid 1 year from date)

.....
Company

A.7 Socially responsible manufacturing

Shorter product cycles and growing demand for new technologies put increasing pressure on industry and its complex supply chain to deliver new devices faster and at a low cost. The result is often inadequate working conditions at manufacturing facilities, long working hours, low wages and a lack of health and safety measures.

TCO Development aims for greater brand engagement throughout the supply chain by setting criteria and verification routines that create strict social policies toward suppliers, as well as factory audit structures and an open dialog within the IT industry.

Life Cycle Phase



A.7.1 Supply chain responsibility

Background

It is TCO Development's opinion that codes of conduct and factory audits are currently the tools that are most practical to help the majority of brands to work with socially responsible manufacturing in a structured way. It is also TCO Development's opinion that these tools are improving the situation incrementally as long as they are used in the correct and committed way by the brand.

The contribution of TCO Certified is:

- TCO Certified defines a minimum level of the Brand owner's code of conduct.
- TCO Certified is a control system to ensure that the brand takes the responsibility and works in a structured way in accordance with their code of conduct.
- TCO Certified creates an incentive for Brand owners to work proactively.

Definitions

Brand owner: The company or organization owning or controlling the brand name.

First tier manufacturing facility: Manufacturing plant where the final assembly of the TCO Certified product is taking place.

Corrective action plan: A list of actions and an associated timetable detailing the remedial process to address a specific problem

Applicability

The Brand owner.

Clarification

[B.7.1](#)

References

49 and 50

Mandate A.7.1:

By signing this mandate the Brand owner agrees to the (1. Commitment) and agrees to conduct the (2. Structured work). Additionally TCO Development requires that the Brand owner show (3. Proof) of the commitment and the structured work by allowing random inspections, by sharing audit reports and corrective action plans and by providing other documented proof described below.

1. Commitment:

The *Brand owner* shall have a code of conduct that is considered consistent with the following in the manufacturing of TCO Certified products:

- ILO eight core conventions: 29, 87*, 98*, 100, 105, 111, 138 and 182.
- UN Convention on the Rights of the Child, Article 32.
- Relevant local and national Health & Safety and Labour laws effective in the country of manufacture.

*In situations with legal restrictions on the right to freedom of association and collective bargaining, non-management workers must be permitted to freely elect their own worker representative(s) (ILO Convention 135 and Recommendation 143).

2. Structured work:

- The Brand owner shall ensure that routines are in place to implement and monitor their code of conduct in the manufacturing of TCO Certified products.
- In the final assembly factories the Brand owner shall ensure the implementation of their code of conduct through factory audits.
- In the final assembly factories and in the rest of the supply chain the Brand owner shall ensure that a corrective action plan is developed and fulfilled within reasonable time for all violations against their code of conduct that the Brand owner is made aware of.

3. Proof:

- TCO Development may conduct/commission random factory inspections (spot-checks) at any final assembly factory manufacturing TCO Certified products for the Brand owner and may require full audit reports during the certification period in order to assess social commitment and advancement.
- TCO Development may also require seeing corrective action plans and auditing reports from factories further down the supply chain to ensure that corrective actions have been successfully implemented.
- TCO Development additionally requires the documentation below to be verified by a third party approved verifier.

Submit the following to an approved verifier:

The Brand owner shall submit all of the following as proof of their commitment and structured work:

1. The Brand owner shall submit their code of conduct, which must be considered consistent with the criteria under 1. Commitment.
2. The Brand owner shall annually submit proof that management and workers at all final assembly factories manufacturing TCO Certified products have been informed about the Brand owner's code of conduct.
3. The Brand owner shall annually submit a list of all final assembly factories manufacturing TCO Certified products. This list shall include the dates of the most recent social audits covering the Brand owner's code of conduct and the dates of planned audits for each factory. The list shall show that all factories have or will be audited at least once over a 3-year period.
4. The Brand owner shall annually submit for review one third-party audit report from one final assembly factory manufacturing TCO Certified smartphones to demonstrate that the audits are conducted in a serious manner. The audit report shall at least cover the criteria in A.7.1 of TCO Certified and be of equal quality as an EICC audit. It shall not be more than 12 months old.
5. The Brand owner shall submit a corrective action plan for all nonconformities against A.7.1 of TCO Certified found in the submitted third party factory audit..

The following information shall be submitted to an approved verifier:

- A written guarantee that the mandate above is fulfilled. The guarantee shall be signed by the responsible person at the *brand owner* company.

Submit the following together with the application to TCO Development:

- A copy of a verification report from a verifier approved by TCO Development.

We hereby guarantee our commitment to fulfilling the mandate.

..... Product brand name Model name(s) or "All products"
..... Signature Name and title in block capitals
..... Date (Declaration valid 1 year from date) Brand Owner Company

A.7.2 Senior Management Representative

Background

It is beneficial to all parties that an open and transparent dialogue between TCO Development and the Brand owner exists for the monitoring of compliance with the criteria or when issues concerning working conditions at manufacturing facilities require clarification. A contact person responsible for the organization's efforts to enforce the socially responsible manufacturing criteria needs to be consistently available for dialogue with TCO Development throughout the validity of the certificate.

Applicability

The Brand owner.

Clarification

[B.7.2](#)

Mandate A.7.2:

The Brand owner shall have an appointed Senior Management Representative (SMR) who, irrespective of other responsibilities, has the authority to ensure that the social criteria in the manufacturing of TCO Certified products are met and who reports directly to top management.

- The contact details of the SMR shall be submitted and the SMR shall be available for dialogue in English with TCO Development throughout the validity of all the Brand owners' certificates.
- To ensure that the SMR has the necessary authority and is working in a structured and proactive way implementing the code of conduct, a review of the SMR shall be done every year according to B.7.2.2.

Submit the following to an approved verifier:

1. Name, Title, Telephone Number and Email Address of the SMR.
2. A written guarantee that the above mandate is fulfilled. The guarantee shall be signed by the SMR at the Brand owner company.

Submit the following together with the application to TCO Development:

A copy of a verification report from a verifier approved by TCO Development.

Complete the table using block lettering

Name	
Business title	
Telephone	
E-mail	

We hereby guarantee that the above mandate is fulfilled.

.....
Product brand name

.....
Model name(s) or "All products"

.....
Signature

.....
Name and title in block capitals

.....
Date (Declaration valid 1 year from date)

.....
Brand Owner Company

A.7.3 Conflict minerals

Background

The exploitation and trade of the natural resources Tantalum, Tin, Tungsten and Gold (3T+G) from conflict-affected areas is commonly regarded as a major source of conflict financing. TCO Development supports the underlying goal of the EU conflict minerals measures and those contained in the Dodd Frank Act 1502, but believe it is also vital to support in-region responsible sourcing programs in order to help suppliers meet these due diligence requirements, maintain trade and develop mining that directly benefits the people whose livelihoods depend on a legitimate trade. TCO Development now requires all Brand owners who use TCO Certified to address the issue of conflict minerals in their certified products in a progressive and proactive way.

Definitions

Conflict minerals: Tantalum, Tin, Tungsten and Gold = 3T+G

DRC: Democratic Republic of the Congo

Applicability

The Brand owner.

Clarification

[B.7.3](#)

Reference

48

Mandate A.7.3:

The Brand owner shall have a public conflict minerals policy and also indicate all the initiatives they are using/funding. It is TCO Developments opinion that the OECD Due Diligence Guidance for Responsible Supply Chain of Conflict-Affected or High-risk Areas is the most ambitious approach in the list.

At least one of the following options shall be marked:

- ☐ A Due Diligence process based on the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected or High-risk Areas
- ☐ iTSCi (International Tin Research Institute (ITRI) Tin Supply Chain Initiative).
- ☐ CFTI (Conflict-free Tin Initiative).
- ☐ PPA (The Public-Private Alliance for Responsible Minerals Trade).
- ☐ Other relevant DRC in-region initiative:.....
- ☐ CFSI (EICC/GeSi Conflict-Free Sourcing Initiative).

Submit the following to an approved verifier:

- 1 The completed TCO Certified Conflict Minerals Questionnaire and supporting documents
- 2 A written guarantee that the above mandate is fulfilled. The guarantee shall be signed by the responsible person at the Brand owner company

Submit the following together with the application to TCO Development:

A copy of a verification report from a verifier approved by TCO Development.

We hereby guarantee that the above mandate is fulfilled.

.....
Product brand name	Model name(s) or “All products”
.....
Signature	Name and title in block capitals
.....
Date (Declaration valid 1 year from date)	Brand Owner Company

R References

International standard organisations referred to in the reference list below and their Web sites.

- ASTM, American Society for Testing and Materials, <http://www.astm.org/>
- CIE, Commission Internationale de l'Eclairage, International Commission on Illumination, www.cie.co.at/cie/
- DIN, Deutsches Institut für Normung e. V., www2.din.de
- EBU, European Broadcasting Union, http://www.ebu.ch/tech_info.html
- IEC, International Electrotechnical Commission, www.iec.ch
- ISO, International Organization for Standardization, <http://www.iso.org/>
- ITU, International Telecommunication Union www.itu.int/home/index.html
- SMPTE, Society of Motion Picture Television Engineers, www.smtpe.org
- VESA, Video Electronics Standards Association, www.vesa.org

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2. Flat Panel Display Measurements Standard, (M), Version 2.0, VESA - Video Electronics Standards Association Display Metrology Committee. June 1, 2001, CA 95035, Milpitas.
3. <http://www.w3.org/Graphics/Color/sRGB.html>
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17. ISO 3664:2009, Viewing conditions for graphic technology and photography, p. 9 Uniformity of screen luminance.
18. EN 60950 (IEC 60950). Safety of information technology equipment including business equipment.

19. ISO 3664:2009. Viewing conditions for graphic technology and photography, p. 10 Monitor luminance.
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28. www.srgb.com
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40. ISO 3744:2010, Acoustics – Determination of sound power levels of noise sources using sound pressure - Engineering method in an essentially free-field condition over a reflecting plane.
41. ISO 3745:2003, Acoustics – Determination of sound power levels of noise sources – Precision methods for anechoic and semi anechoic rooms.
42. ISO 11201:2010, Acoustics – Noise emitted by machinery and equipment – Measurement of emission sound pressure levels at a work station and other specified positions – Engineering method in an essentially free field over a reflecting plane.
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48. <http://www.oecd.org/corporate/mne/mining.htm>
49. Electronic Industry Citizenship Coalition (EICC), <http://www.eicc.info>
50. SA8000, <http://www.sa-intl.org>
51. www.energystar.gov and
(http://www.energystar.gov/index.cfm?c=partners.pt_index)
52. International Efficiency Marking Protocol for External Power Supplies.
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57. EU Directive EC 1272/2008 on classification, labelling and packaging of substances and mixtures
58. The EU Green Paper "Environmental questions concerning PVC" KOM (2000) 469
59. ISO 11469:2000 Plastics - Generic identification and marking of plastics products
60. ISO 1043-1, -2, -3, -4 Plastics - Symbols and abbreviated terms
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62. Directive 94/62/EC on packaging and packaging waste.
63. http://www.umweltbundesamt.at/fileadmin/site/umweltthemen/abfall/ROHS/final_results/Annex6_RoHS_AnnexII_Dossier_DEHP.pdf [DEHP]
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B Test Methods and clarifications

The following definitions, test conditions, requested specifications from clients, and other information apply to the test methods described in this document.

Test results are valid only for the presentation form(s) and configuration(s) tested.

B.0 General test conditions

B.0.1 Definition of a test object

- Test objects covered by this document are Smartphones with visual displays with fixed positions of the pixels.
- A test object with all necessary information for its operation shall be delivered to the test facility in test ready condition including any required accessories. All necessary information about how to operate and adjust the test object shall be provided.
- The performance of the test object shall in all aspects be fully in accordance with the performance of the final product.

B.0.2 Required test object information

The client shall specify:

- Name(s), type designation(s) and manufacturer for all different exchangeable parts of the test object.
- Operating system and version used.
- Display resolution of the Smartphone display.
- Display panel type, e.g. LCD, OLED
- Default viewing direction if any (portrait or landscape). If no direction is specified the default viewing/testing direction shall be portrait mode.
- Colour depth of the display.

B.0.3 Conditions for the equipment under test

- The Smartphone being tested shall be physically prepared for testing and shall be warmed up (switched on and plugged into the mains supply) for at least 15 min to stabilise the Smartphone at room temperature.
- The Smartphone screen surface shall be clean when tested.
- The Smartphone shall be tested in battery mode unless otherwise specified in the test procedure and may be recharged in between the tests if necessary.
- The Smartphone shall be tested without load on any peripheral interface such as USB, memory card slot, headphones/headsets or similar unless otherwise specified in the test procedure.

B.0.4 Smartphone alignment for testing

The Smartphone display front shall be aligned vertically through the centre-point of the display front.

B.0.5 Instruments used for testing

All instruments used for testing of a Smartphone shall be of good quality and validated by a recent test certificate from a certified testing facility. Any necessary instrument calibration shall be done before the tests are performed. Calibrations shall be traceable to national standards.

B.0.6 Settings of the Smartphone

- If present, the standard controls of the Smartphone shall be used to configure and adjust the display, e.g. brightness, contrast.
- If possible the Smartphone resolution shall be set to the native resolution and be used for all test parameters.
- The measurement shall be taken with the Smartphone in default CCT. If no default CCT is given or available the colour temperature presented by the recall function (or equivalent function) in the OSD (On Screen Display). If no OSD exists the default CCT on start-up shall be used
- All measurements shall be taken with no adjustments made between the measurements, if not otherwise specified in the test method.
- An external control unit that is not a standard part of the Smartphone is not allowed.
- The colour depth (6 or 8 bits per colour channel) of the testing program shall be at least as high as for the sample tested.
- The operating system most likely to be used by an end user should be used for testing. All settings in the operating system shall be the default ones as delivered to the end user or the default as it appears directly after the installation of the operating system unless otherwise specified in the criteria. (The easiest way to achieve this is to reset the phone prior to testing)
- Any picture enhancement or energy saving sensors reacting on ambient light shall be turned off during testing.

B.0.7 Test image/test character

- All test images can be found on TCO Development's home page, www.tcodevelopment.com.
- A possibility is to use a testing program that shall consist of software commonly used for Smartphones. The program shall be able to produce the text or graphics or pictures required for the test procedures.
- All parts of the tests for a test object shall be conducted using the same font, character size, correlated colour temperature, resolution, operating system and other settings of the Smartphone controls etc., unless otherwise stated in the test procedure.

B.0.8 Test Image and Test Luminance setting

The test image in Figure B.0.8.1, referred to as the TCO Certified Smartphone default test image, has an 80 % image loading. The test image shall fill the whole usable screen that represents the “full screen mode” for photo viewing or movies. If a toolbar always consumes a part of the screen during “full screen” photo viewing and movies then the toolbar may also consume a part of the screen during test. This image shall be used for testing unless otherwise specified in the test procedure.



Figure B.0.8.1. The TCO Certified Smartphone default test image .

Procedure:

- The white area in the centre shall be 25% of the longer side of the screen and 30% of the shorter side. The background colour shall be set to RGB 185, 185, 185 (i.e. equal to 80 % image loading). An 18 step greyscale may be present to visually evaluate the setting of the display. *(The greyscale will almost not affect the image loading as it contains both bright and dark areas).*

Test Luminance setting:

- The test luminance of the Smartphone shall be a fixed luminance mode that is $\geq 150 \text{ cd/m}^2$. This setting must be with at least 15 of the 18-step greyscale visible. If this is fulfilled the Smartphone may be tested in this mode. This setting shall be written in the test report.
- If no setting fulfils the requirement of $\geq 150 \text{ cd/m}^2$ and 15 of the 18-step greyscales visible the testing is stopped and the engineer shall request a replacement sample.

B.0.9 Test report

The test results are valid only for the presentation form(s) and configuration(s) tested. If other configurations are accepted by the test facility based on the results of the tested ones it shall be clearly specified in the test report that these configurations have not been tested.

The test report shall include the following information:

- Any changes to the test methods.
- The manufacturer, brand name, model type and serial number (if available).
- The panel brand, full panel number if different panels are used for the product.
- The mode(s) (i.e. horizontal and vertical scan frequency and resolution) used during the test and the aspect ratio.
- The external power supply brand, model number and class shall be stated.
- The degree of uncertainty for each given measurement result.
- The default or chosen display setting
- If present, the setting of contrast, brightness and CCT pre-set used during the test.
- Photographs of the product showing: Front, rear, open panel, a legible panel marking plate and the external power supply with legible marking plate.

B.1 General information

B.1.1 TCO Certified Document

The TCO Certified Document shall accompany the product as provided by TCO Development. No editorial changes without TCO Development's consent are accepted. The Document is available at www.tcodevelopment.com.

If the product that is to be TCO Certified is branded differently from the applicant name, the applicant company signing the guarantee shall be sure that the brand owner agrees with the requirement.

Compliance is through one of the following options:

1. Separate printed document

The TCO Certified Document is placed in the packaging and accompanies the product to the end user

2. In the user manual or a digital file

The TCO Certified Document is placed in the user manual or a digital file and accompanies the product to the end user. The TCO Certified Document shall be printed under a headline for TCO Certified. This headline shall be visible in the table of contents of the user manual or digital file.

The TCO Document must be separated from other text portions of the user manual or digital file so that it is obvious that the TCO Document is not accountable for the content of any other texts.

3. On the brand owner web site.

A direct link to the TCO Certified Document on the brand owner's web page is placed in the user manual or digital file and accompanies the product to the end user. There shall be a headline for TCO Certified in the user manual or digital file. This headline shall be visible in the table of contents. With this headline there shall be a direct link to the TCO Certified document on the brand owner's website. Also accepted are TCO logos or icons that redirect the visitor by a link to the TCO Certified Document

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B.2 Visual ergonomics

B.2.0 General test conditions for visual ergonomics

B.2.0.1 Basic test requirements

As described in section B.0.

For all tests, the Smartphone screen shall be clean.

B.2.0.2 Photometric test facility general requirements

Photometric measurements shall be performed under darkroom conditions. This means that measurement data shall in no way be affected by direct light from sources or light reflected from interiors, equipment, clothes etc.

The test facility shall have a routine for the control of the stray-light level at the Smartphone screen (see also B.2.0.6).

B.2.0.3 Power supply and test room climate requirements for testing

- | | |
|-------------------------|------------------------------------|
| • AC mains voltage* | 230 VAC RMS, tolerance $\leq 1\%$ |
| • AC mains frequency* | 50 Hz, tolerance $\leq 2\%$ |
| • Test room temperature | $23 \pm 3\text{ }^{\circ}\text{C}$ |
| • Humidity | 20-75 % RH (non-condensing) |

* – or other voltage and frequency combination specified by the client.

B.2.0.4 Photometric and spectrometric measurements

Several instruments are to be used when carrying out measurements for visual ergonomics. All instruments shall be recently calibrated and carry a calibration certificate from a certified testing facility.

The following instrument types are to be used for testing:

Luminance meter. A luminance meter shall have a sufficiently good $V(\lambda)$ -sensitivity (Requirements for luminance meters are covered by CIE Publication 69 (1987). Luminance meters of CIE Class L(aboratory) with a combined performance characteristic $\leq 3\%$ shall be used.) and integrate luminance over a finite measuring field during a finite time. The meter shall be equipped with adjustable optics and always be focused on the measured area. The luminance meter must incorporate a sufficiently long time constant of integration in order to ensure averaging of the pulsation of the light emitted by Smartphones. The sensitivity shall be independent of the polarization of the measured light (often referred to as f_8 error).

The luminance meter measuring field shall be one degree for all measurements, except for the micro-photometric luminance measurements, see below.

An automated instrument using collimating optics may be used for testing although the measurement area may differ somewhat from the area covered by the luminance meter. The test facility shall verify that the area measured by the instrument has the correct size regardless of the instrument used.

- **Spectro-radiometer.** An instrument for the measurement of radiant flux as a function of wavelength shall be used. A spectro-radiometer for the measurement of light and colour is normally equipped with a microprocessor that makes it possible to obtain luminance and colour co-ordinates directly from raw measurement data. A spectro-radiometer can replace the luminance meter when suitable. The wavelength resolution shall be $\leq 4\text{nm}$ for attaining accurate colour measurements. The sensitivity shall be independent of the polarization of the measured light (often referred to as f_8 error).

B.2.0.5 Measurement distance

If possible all measurements shall be carried out through a point, simulating the eye position of the operator. This means 400mm. Eyes are corrected for reading at a close range of 400 mm which could be considered as an absolute minimum viewing distance for comfortable viewing. However, even if the comfortable viewing distance should not be shorter than 400mm, a measuring distance at a shorter distance for Smartphones in order to measure small objects or using a collimated automatic measurement system is acceptable as long as the measurement is made perpendicular to the screen surface and the measurement area is correct. The instrument shall be focused on the presented test image for all measurements.

Independent of the luminance meter and spectroradiometer used and also the measuring distance, the diameter of the measuring area on the screen shall be 1/10 of the screen diagonal. The instrument shall be focused on the presented test image for all measurements.

B.2.0.6 Stray light

Stray light may cause errors which can negatively affect measurement of luminance, contrast and chromaticity coordinates. It is therefore necessary to make an evaluation of stray light influence for the different measurement procedures described in this document.

If it is verified that stray light affects the measurement result it is necessary to take actions to eliminate the source of error. Two possible ways to solve the problem are to equip the luminance meter with a well-designed screening attachment, a frustum or to use a black screening sheet at the Smartphone screen surface.

B.2.0.7 Overall uncertainty

The overall uncertainty of the test facility shall be calculated for each measurement procedure in this document and presented in the test report. The uncertainty shall be within the required levels for each criterion. All measurement uncertainties claimed for used instruments shall be referred to traceable calibration reports.

About combining overall uncertainty values during test measurements:

- Criteria are fulfilled without adding or subtracting the overall measurement uncertainty.
- Report the value shown on the instrument without adding or subtracting the overall measurement uncertainty.
- The overall measurement uncertainty of the test facility shall be printed in the test report together with the reported value.
- For a test facility that has an overall measurement uncertainty higher than the one allowed by TCO Development for a certain criteria, then the test report for that criteria is not valid for TCO certification and the test results will not be accepted by TCO Development.

B.2.1 Luminance characteristics

B.2.1.1 Luminance level

B.2.1.1.1 Preparation of the Smartphone for testing

- All necessary preparations described in B.0 and B.2.0 shall be done.
- The TCO Certified Smartphone default test image with an 18-step greyscale as shown in Figure B.0.8.1 shall be used for luminance level measurement.

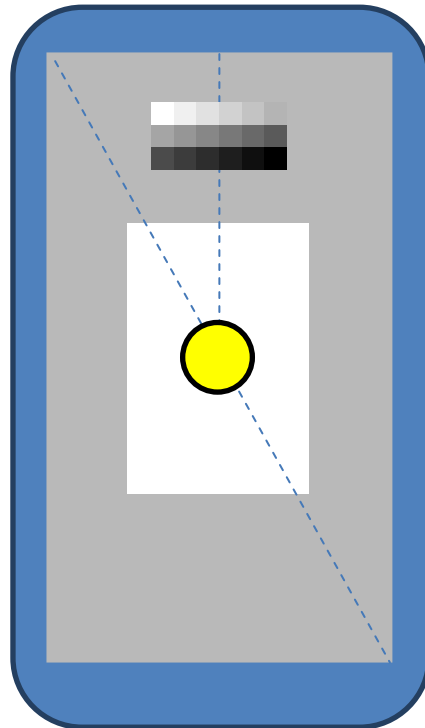


Figure B.2.1.1. Simulation of the measurement area (yellow) on the TCO Certified Smartphone default test image with the 18-step greyscale inserted

Independent of the used luminance meter, spectroradiometer and also the measuring distance, the diameter of the measuring area on the screen shall be 1/10 of the screen diagonal. The instrument shall be focused on the presented test image for all measurements.

- The test image shall be as Figure B.0.8.1
- The yellow circular area in the centre represents the measuring area of the luminance meter or spectroradiometer.

The following evaluations shall be carried out orthogonally to the screen surface

- To achieve the maximum luminance proceed as follows: Adjust the controls for the display to achieve as high luminance as possible with an acceptable image quality. The image quality is considered acceptable when at least 15 of the 18 greyscale steps are visible. At this maximum luminance, test that the display has a luminance $\geq 200 \text{ cd/m}^2$.
- To achieve the minimum luminance proceed as follows: Adjust the controls for the display to achieve as low luminance as possible with an acceptable image quality. The image quality is considered acceptable if at least 15 of the 18 grey scale steps are visible. At this minimum luminance check that the display has a luminance $\leq 100 \text{ cd/m}^2$.
- If it is difficult to visually determine if 15 of the 18 grey scale steps are visible, then the display shall comply with the gamma curve criteria (A.2.1.3) in the max and min luminance setting.
- When this test is completed the display shall be adjusted back to the test luminance setting as described under B.0.8. Allow the display to stabilize before other test measurements are made.

B.2.1.1.2 Equipment

Luminance meter.

B.2.1.1.3 Test method

The luminance at the centre of the white test area shall be measured with the luminance meter directed orthogonally to the test area as described in B.2.0.5.

B.2.1.1.4 Test evaluation

The measured luminance is the required value. The luminance shall be reported with no decimal places.

The measured Luminance, and display setting shall be noted in the test report. The CCT in default setting shall also be noted.

B.2.1.1.5 Overall uncertainty

$\leq \pm 10 \%$ in luminance.
See B.2.0.7.

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B.2.1.2 Luminance uniformity

B.2.1.2.1 Preparation of the Smartphone for testing

- All necessary preparations described in B.0 and B.2.0 shall be done.
- The entire active area of the screen shall be white and the Smartphone colour setting shall be RGB 255, 255, 255.

B.2.1.2.2 Equipment:

Luminance meter.

B.2.1.2.3 Test method:

The luminance shall be measured orthogonally to the Smartphone screen plane at the 9 points indicated in Figure B.2.1.2.1.

The luminance uniformity shall also be evaluated visually by the technician in order to find any dark or bright areas except from the 9 default positions. If a significantly bright or dark area is found these measuring points shall also be measured and used to evaluate the luminance uniformity.

The conditions for luminance measurement in the corner positions and the distribution of other measurement points are illustrated in figure B.2.1.2.1

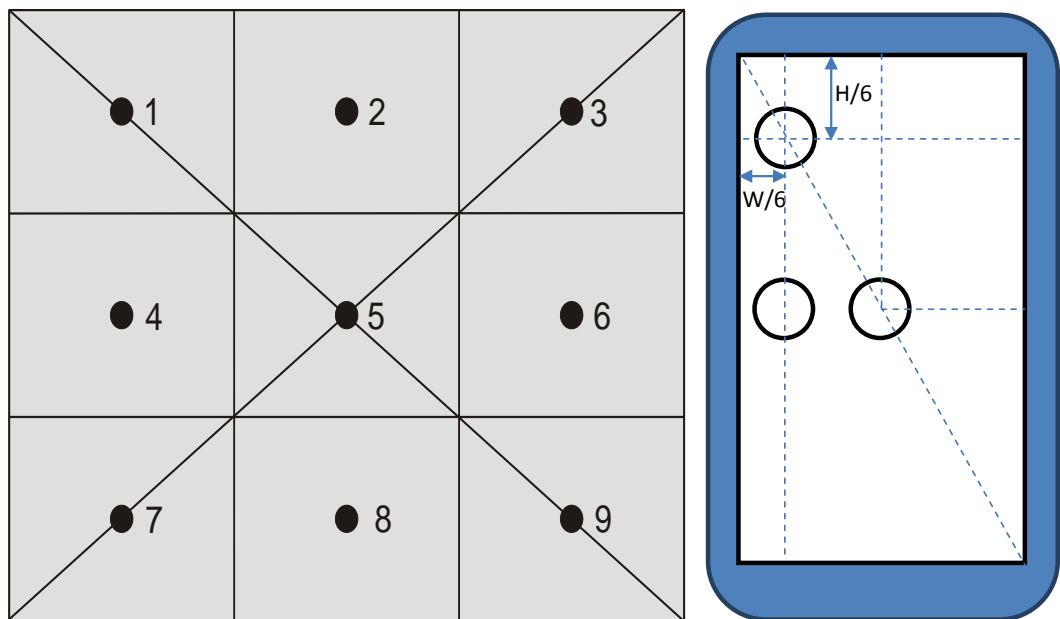


Figure B.2.1.2.1. Measurement positions for the measurement of luminance and colour uniformity.

B.2.1.2.4 Test evaluation

The luminance uniformity shall be reported as the ratio between the highest and the lowest measured luminance values.

The result shall be presented to 2 decimal places.

B.2.1.2.5 Overall uncertainty

$\leq \pm 10 \%$ in luminance.

$\leq \pm 0.1$ unit in luminance uniformity.

See B.2.0.7.

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B.2.1.3 Greyscale gamma curve

B.2.1.3.1 Preparation of the Smartphone for testing

- All necessary preparations described in B.0 and B.2.0 shall be done.
- A TCO Certified Smartphone default test image, as shown in Figure B.0.8.1, shall be used for this measurement.
- The centre square area according to B.0.8.1 shall have each of the following RGB settings: R=G=B= 255, 225, 195, 165, 135, 105, 75 and 45 for each measurement.

B.2.1.3.2 Equipment

Luminance meter or Spectroradiometer.

B.2.1.3.3 Test method

The instrument shall be directed orthogonally towards the different test square centres at the measurement distance described in B.2.0.5. Measurement distance and area for each greyscale step specified above. Change the greyscale of the square for each measurement.

B.2.1.3.4 Test evaluation

The evaluation task is to convert each measured luminance into relative luminance where 100% corresponds to the white luminance in the centre of the displays and make a table corresponding to the example table below. Each row in the table must show how the luminance is decreasing with darker grey levels. L_{Measured} shall be the percentage of the measured luminance for the grey level at that row in relation to the luminance measured at 255 (white).

Table 1 Example of grey level table

Grey level	L_{Measured}
	%
255	100
225	75
195	55
165	38
135	24
105	14
75	7
45	3

B.2.1.3.5 Overall uncertainty

$\leq \pm 10\%$ in luminance.

See B.2.0.7.

[Back to A.2.1.3](#)

B.2.2 Screen colour characteristics

B.2.2.1 Correlated colour temperature (CCT) variation

B.2.2.1.1 Preparation of the Smartphone for testing

- All necessary preparations described in B.0 and B.2.0 shall be done.
- A TCO Certified Smartphone default test image, as shown in Figure B.0.8.1, shall be used for this measurement.

B.2.2.1.2 Equipment

Spectro-radiometer capable of presenting CIE u' and v' chromaticity co-ordinates with at least three decimals.

B.2.2.1.3 Test method

The spectral properties at the centre of the white test square shown in Figure B.0.8.1 shall be measured with a spectro-radiometer.

The spectral data shall then be processed, which is normally done directly in the instrument microprocessor, to give chromaticity co-ordinates. In this case the CIE co-ordinates u' and v' are needed for the test evaluation and are often presented directly by the spectro-radiometer used.

B.2.2.1.4 Test evaluation

The default CCT stated in the report shall be given in Kelvin (K).

B.2.2.1.5 Overall uncertainty

$\leq \pm 0.003$ in u' and v' .

See B.2.0.7.

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B.2.2.2 Colour uniformity

B.2.2.2.1 Preparation of the Smartphone for testing

- All necessary preparations described in B.0 and B.2.0 shall be done.
- The entire active area of the screen shall be white with the Smartphone's colour setting at RGB 255, 255, 255.

B.2.2.2.2 Equipment

Spectro-radiometer with a capacity to present u' and v' co-ordinates with at least 3 decimals.

B.2.2.2.3 Test method

The colour uniformity shall be measured orthogonally to the Smartphone screen plane at 9 points as for Figure B.2.1.2.1.

The colour uniformity shall also be evaluated visually by the technician in order to find those areas where the colour varies the most except from the 9 default positions.

The conditions for colour measurement in the corner positions and the distribution of other measurement points are illustrated in Figure B.2.1.2.1.

B.2.2.2.4 Test evaluation

$\Delta u'v'$ in accordance with the CIE (1976) uniform chromaticity scale diagram shall be calculated for each measured position using the formula

$$\Delta u'v' = \sqrt{(u'_A - u'_B)^2 + (v'_A - v'_B)^2}$$

where A and B are the two points found to have the largest colour difference between them.

The largest difference in $\Delta u'v'$ value shall be reported.

The result shall be presented to 3 decimal places.

(The evaluation procedure is exemplified below)

- Make a table of colour chromaticity values for each measured position

Measurement position no.	u'	v'
1	0.190	0.447
2	0.186	0.441
3	0.186	0.437
-	-	-
-	-	-
n-1	0.185	0.434
N	0.186	0.432
Largest difference	0.005 in this example	0.015 in this example

- The largest u' difference, $\Delta u'$, is 0.005 (between 0.190 and 0.185) at measurement positions 1 and n-1.
- The largest v' difference, $\Delta v'$, is 0.015 (between 0.447 and 0.432) at measurement positions 1 and n.
- Since $\Delta v'$ (= 0.015) is much larger than $\Delta u'$ (= 0.005), the $\Delta v'$ value shall be used for the calculation of $\Delta u'v'$.

- The corresponding two pairs of u' and v' to be used for the calculation are thus the values found at position 1 and position n and thus become the values used for points A and B such that

$u'_1 = u'_A = 0.190$ and $v'_1 = v'_A = 0.447$ for point A in this example

and

$u'_n = u'_B = 0.186$ and $v'_n = v'_B = 0.432$ for point B in this example

Hence $\Delta u'v' = \sqrt{0.000016 + 0.000225} = 0.01552$, which shall be reported as 0.016.)

B.2.2.2.5 Overall uncertainty

$\leq \pm 0.003$ in u' and v' .

See B.2.0.7.

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B.2.2.3 RGB settings

B.2.2.3.1 Preparation of the Smartphone for testing

- All necessary preparations described in B.0 and B.2.0 shall be done.
- A TCO Certified Smartphone default test image , as shown in Figure B.0.8.1, shall be used for this measurement with the following modification:

The centre squares (see B.2.0.5) shall be changed to have each of the following RGB settings:

(255, 0, 0) for red,
(0, 255, 0) for green,
(0, 0, 255) for blue.

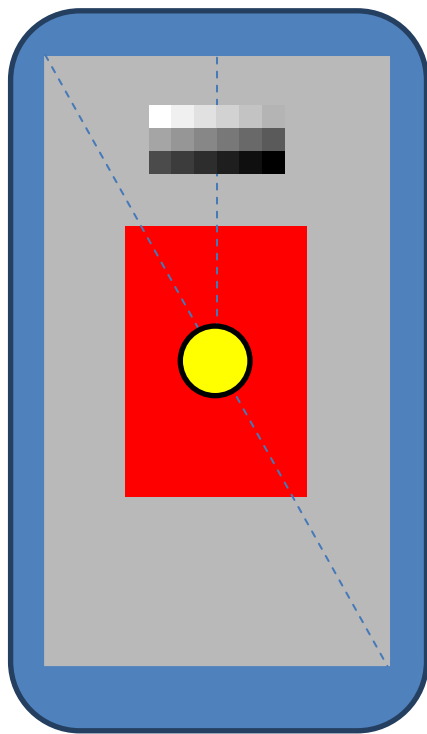


Figure B.2.2.3.1. Test image for red with measurement area showing (yellow)

The yellow circular area in the centre of the above picture represents the measuring area of the spectroradiometer and is not a part of the test image that shall be used.

The red area is changed to green and blue when these colours are measured. If the test facility can prove in the test report that the display is insensitive to image loading it is ok to fill the whole screen with 100% red, green and blue respectively. (*OLED will be affected by image loading*).

B.2.2.3.2 Equipment

Spectro-radiometer with a capacity to present u' and v' co-ordinates with at least 3 decimals.

B.2.2.3.3 Test method

The instrument shall be directed orthogonally towards the different test square centres at the measurement distance and area described in B.2.0.5. Measure the chromaticity co-ordinates at the centre of the square for each colour setting specified above. Change the colour of the square for each measurement.

B.2.2.3.4 Test evaluation

The recorded chromaticity co-ordinates u' and v' for the red, green and blue squares shall be reported.

The u' and v' shall be presented to 3 decimal places.

B.2.2.3.5 Overall uncertainty

$\leq \pm 0.003$ in u' and v' for red and green.

$\leq \pm 0.007$ in u' and v' for blue.

See B.2.0.7.

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B.3 Workload ergonomics

B.3.1 Material Characteristics

The Smartphone shall not release nickel from surfaces that come in contact with user's skin during normal use. The rate of nickel release shall not be greater than 0,5 µg/cm²/week in accordance to EN 1811:2011.

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B.6 Environment

B.6.0 General Clarification

B.6.0.1 Signatures

The date of signature shall not be older than 12 months at the time of the application. The templates in the ecological declaration shall be sent either with original signatures or as copies of original documents with original signatures. “Copies” are for example telefaxes or pdf-files of scanned signed documents. TCO Development and/or the responsible test facility may later request the original signed document.

However, copies will not be accepted where the signature has been scanned and pasted into the document.

TCO Development accepts digital signature as an alternative to traditional signature on test reports and declarations submitted as pdf files. To approve a digital signature it is necessary to also submit a digital key to the verifier to facilitate identification.

B.6.1 Product description

The A.6.1 template shall be completed with the requested information about the Smartphone. This includes the display, panel and external power supply.

The type key that includes an Asterisk (*) for unidentified characters, if any, in the model name and panel identification name shall be submitted to the eco-verifier. Only two * may be used in the model type key and each * must include two or more options. For the most up-to-date information about type keys, see the appropriate product Application Process at www.tcodevelopment.com

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B.6.2 Manufacturing

B.6.2.1 Environmental management system certification

The certificate shall be issued by a certification body that is accredited by an accreditation body covered by the International Accreditation Forum, www.iaf.nu, Multilateral Arrangement on Environmental Management Systems.

The applicant shall submit an ISO 14001 certificate or EMAS registration for every final assembly plant used to manufacture products certified according to TCO Certified.

For applicants submitting several applications, it is sufficient to attach ISO 14001 certificate(s) or EMAS registration(s) with the first application. The certificate(s) or an appendix to the certificate(s) shall show the scope of the certification.

Manufacturing plants that are not yet certified (and that do not fall into the above mentioned category) can seek a 12 months grace period on the first application to obtain ISO14001 certification or EMAS registration. TCO Development reserves the right to deny grace period if the Applicant is considered a high risk for not meeting the 12 month due date. When seeking grace period an agreement must be completed/signed by the Applicant company.

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B.6.3 Climate

B.6.3.1 Energy consumption – external power supply

TCO Development has decided that energy consumption of the external power supply shall follow the U.S. Environmental Protection Agency's (EPA) demands for compliance with The International Efficiency Protocol requirement for level V, equivalent to the Energy Star version 2.0 for external power supplies, also covering battery chargers.

The international efficiency mark consists of a Roman numeral (I – VI) that corresponds to specific minimum Active and No-Load efficiency levels (as well as a power factor requirement for level V) and is printed/applied by the manufacturer on the external power supply marking plate.

A TCO Development test facility will require to see a copy of the Smartphone's external power supply marking plate there The International Efficiency Protocol requirement for level V symbol is visible as proof of compliance.

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B.6.4 Hazardous substances

B.6.4.1 Cadmium (Cd), mercury (Hg), lead (Pb) and hexavalent chromium (CrVI)

Exemptions are according to EU Directive 2011/65/EU (RoHS) and the documents supporting the directive except that mercury in lamps is not permitted.

The maximum concentration values tolerated by weight in homogeneous materials for cadmium, mercury, lead and hexavalent chromium are according to EU Directive 2011/65/EU (RoHS) and the documents supporting the directive. No exemption for mercury in lamps is allowed.

The limit value for batteries is 0.0005 % for mercury, 0.002 % for cadmium and 0.004 % lead per listed part, according to EU Directive 2006/66/EC.

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B.6.4.2 Halogenated substances

Mandate 1. The requirement applies to plastic parts in all assemblies and sub-assemblies. LCD panels are included in the requirement.

Exempted are printed wiring board laminates, electronic components and all kinds of cable insulation.

Mandate 2. The requirement applies to the whole of the Tablet computer product, including components, parts and raw materials in all assemblies and sub-assemblies e.g. batteries, paint, surface treatment, plastics and electronic components. Printed Wiring Boards are also included in the requirement.

HBCDD has been identified as a Substance of Very High Concern in accordance with EU REACH criteria. The main application of HBCDD in EEE is as a flame retardant in HIPS plastic being used for closures and structural parts of different types of EEE. TCO Development considers that the use of HBCDD in EEE is not deemed essential as technically suitable alternative substances and materials are available and already used extensively today.

Maximum concentration values tolerated for a restricted substance (including decaBDE) is 0.1 % by weight in homogeneous materials.

Fluoroorganic additives, used to modify the dripping behaviour of plastics in fire conditions or to improve the processing behaviour, are exempted provided that they do not exceed 0.5 weight percent.

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B.6.4.3 Non-halogenated substances

Non-halogenated flame retardants can be used in TCO Certified products once they receive an accepted benchmark. TCO Development makes a list of accepted substances available on its website. The *Accepted Substances list* is dynamic, which allows new substances that have undergone a valid assessment to be added or for accepted substances to come under reassessment in light of new scientific findings.

When considered necessary, TCO Development reserves the right to request a substance undergo further assessment in order to assess the completeness, quality and validity of a draft benchmark score, such as a GreenScreen Verification assessment.

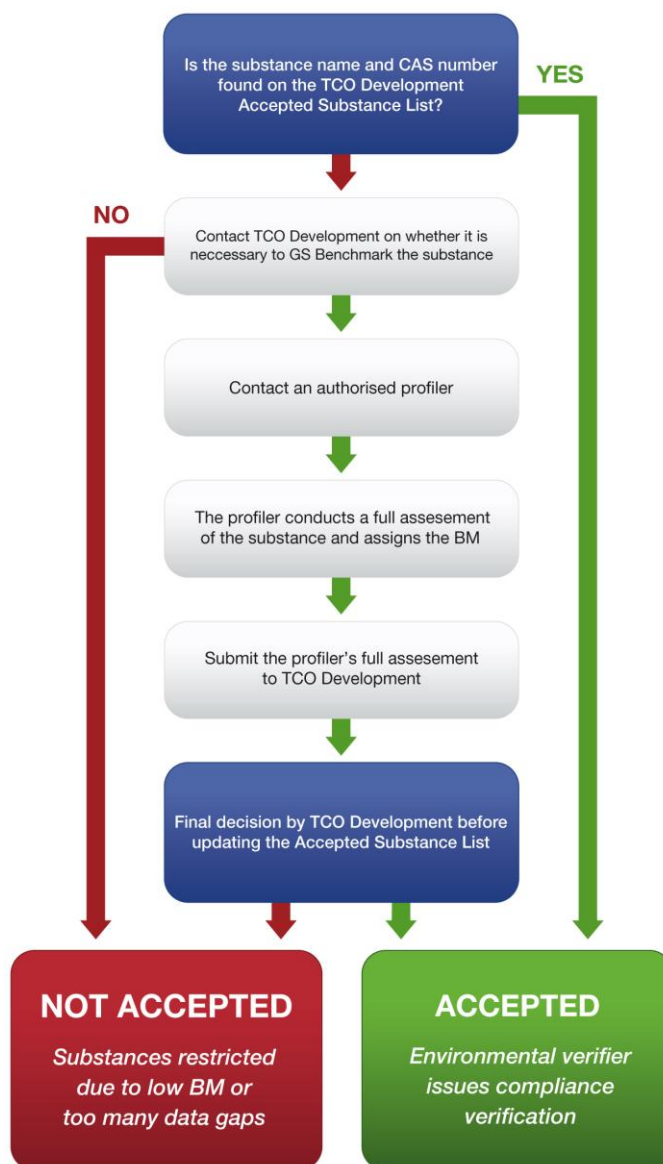
Full GreenScreen Assessments of substances are made publicly available on databases such as GreenScreen Store <http://www.greenscreenchemicals.org/gs-assessments/chemicals> or IC2 (Interstate Chemicals Clearinghouse) <http://theic2.org/hazard-assessment> or Techstreet <http://www.techstreet.com/searches/3638231>. If no public assessment report is available, then TCO Development may place interested persons in contact with the owner of the report.

Table B.6.4.3.1

Benchmark key		
Benchmark 4	Few concerns, i.e. safer chemical	Approved for use
Benchmark 3	Slight concern	Approved for use
Benchmark 2	Moderate concern	Approved for use
Benchmark 1	High concern	Not accepted
Unspecified (U)	Insufficient data to assign a benchmark	Not accepted

B.6.4.3.1 Compliance procedures (See also Flow chart B.6.4.3.1)

- **First contact your suppliers such as the plastic and panel manufacturer and ask them to confirm that they only use flame retardants including substances on the accepted substance list.**
- **If all flame retardants only include substances on the Accepted Substances List the procedure is as follows:**
 1. Sign template A.6.4.3 and submit it to the approved environmental verifier. When the verifier considers all environmental documentation is compliant they will issue an Environmental verification to the applicant.
- **If any flame retardant is used that contains a substance that is not on the Accepted Substances List then it will need to be added before approval can be given by the environmental verifier. For this the procedure is as follows:**
 1. Contact TCO Development directly to see if we have any additional information on the substance: Reasons for the substance's absence can be that the substance has received benchmark 1, no assessment has been conducted or it has a benchmark score U (unspecified) due to many data gaps.
 2. If TCO Development requires the substance to be benchmarked, we recommend you contact your supplier and inform them that the substance will need a GreenScreen assessment by a licensed profiler. The list of licensed profilers can be found on the CPA website at <http://www.greenscreenchemicals.org/professionals/profilers>
 3. A draft report per substance (not flame retardant) is assembled from the available information (literature search, structural similarity comparison, expert judgment) by the profiler.
 4. It is the profiler that sets the benchmark score per relevant substance, which is valid for 3 years. Substances are assessed at 3 year intervals since mandates are revised and more data and new knowledge on the substance may lead to other results.
Note: All assessments **and** reassessments shall be conducted by licensed profilers.
 5. Full GreenScreen **assessments per substance** shall be submitted to TCO Development for final approval before the Accepted Substances List can be updated.
 6. Once a substance is added to the list and the verifier identifies them, then they will issue the environmental verification to the applicant (see above point 1 under: **If all flame retardants only include substances on the Accepted Substances List the procedure is as follows**)



Flow chart B.6.4.3.1. The Compliance procedure

B.6.4.3.2 Grace period

Applicants signing mandate A.6.4.3 have the option to seek a grace period in order to give them time to assess flame retardants used and substitute these if necessary. The request for a grace period shall be sent to TCO Development together with a description on why a grace period is necessary and a timeline for the GreenScreen assessment and/or substitution. On receiving this request, TCO Development will conduct a risk assessment as to whether the applicant can be given a grace period to show compliance. If a grace period is not granted, then the applicant is required to ensure that all used non-halogenated flame retardants only include substances that are on the TCO Accepted Substances list before a certificate can be issued to them. After the grace period, if an approved a grace period exceeds the due date, then the verifier shall contact TCO Development and a course of action will be decided after talking first with the applicant.

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B.6.4.5 Phthalates

Maximum concentration values tolerated for a restricted substance is 0.1 % by weight of any plasticised homogenous material.

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B.6.4.6 Hazardous substances in product packaging

Limit values are according to Directive 94/62/EC on packaging and packaging waste.

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B.6.5 Material Resource Efficiency

B.6.5.1 Lifetime extension

That spare parts shall be available for three years from “the time that production ceases” is only applicable to the production of the specific Tablet computer, certified by the brand owner according to TCO Certified.

Regarding spare parts:

- If a part of a product is broken (e.g. bezel or front glass) the end user shall not need to replace the whole product, only the broken part. The broken part shall be possible to replace with an equivalent part (this part does not have to be identical to the broken part).
- When the cost for replacing a broken part (e.g. panel) exceeds the cost of replacing the whole product, then that part need not be considered as a spare part under this mandate.

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B.6.6 End of life

B.6.6.1 Material coding of plastics

If the amount of flame retardant exceeds 1 % by weight the coding shall be complemented in accordance with ISO 1043-4.

The requirements also apply to plastics in the LCD panel, however labelling of the light guide may instead consist of the application of a label in close proximity, for example PLASTIC LIGHT GUIDE:>plastic type(s)< or >PLASTIC LIGHT GUIDE:plastic type(s)<. Labelling of Plate diffuser (not thin plastic film diffuser) shall follow the same rules as for the light guide.

The requirement does not cover other thin plastic films in the panel due to difficulties in labelling these.

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B.6.6.2 Take back system

Tick the box of the option chosen.

If the applicant chooses **option 1** (*Product only sold on markets with WEEE legislation or similar*) and signs the declaration, the requirement is fulfilled.

If **option 2 or 3** (*World-wide product take back or One additional market lacking WEEE legislation where product take back is offered*) is chosen, the declaration must be signed and the applicant must provide a short description of how the take-back system on that market works. This can also be done by giving a reference (for example a link to a website) to the representative, associated company or affiliate taking care of the take-back system on that market.

In case of option 3 the applicant must also provide the name of the market (country) where a take back system is provided.

TCO Development has no requirement on the take-back system being free of charge.

It is important to point out that any recycling and waste export control legislation in countries where the applicant company operates must always be met.

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B.7 Socially Responsible Manufacturing

B.7.1 Supply chain responsibility

B.7.1.1 General Clarifications

The mandate is a social performance mandate and criteria are based on the eight ILO (International Labour Organization) core conventions and local legislation. The mandate stipulates the minimum standards for Code of Conduct, Inspection and Corrective Action engagement of the brand owners regarding the situation at their own and/or their supplier's manufacturing facilities of TCO Certified products.

B.7.1.2 Background information

B.7.1.2.1 SA8000

SA8000 is based on the UN Universal Declaration of Human Rights, Convention on the Rights of the Child and various International Labour Organization (ILO) conventions. SA8000 is a global social accountability standard for decent working conditions, developed and overseen by Social Accountability International (SAI). SAI contracts with a global accreditation agency, Social Accountability Accreditation Services (SAAS) that licences and oversees auditing organisations to award certification to employers that comply with SA8000. For more information visit: <http://www.sa-intl.org/>

B.7.1.2.2 Electronic Industry Citizenship Coalition (EICC)

The Electronic Industry Citizenship Coalition (EICC) is a group of companies working together to create a comprehensive set of tools and methods that support credible implementation of the Electronic Industry Code of Conduct. The EICC Code of Conduct is at the core of member requirements and members are required to commit to it, spread that commitment to their supply chains and undertake a range of assessment activities to ensure they are accountable to their commitment to the Code.

The EICC VAP (Validated Audit Process) is a factory audit framework for identifying risks and driving improvements and robust management systems for labour, ethics, health, safety and environmental conditions in the supply chain. It is a third party validated audit service that provides an independent audit of a supplier, potential supplier, and/or a company's own facilities.

For more information visit: <http://www.eicc.info/>

B.7.1.2.3 Grace Period.

Brand owners signing mandate A.7.1 for the first time have the option to seek a 12 month grace period in order to give them time to improve their supply chain management systems. On receiving this request, TCO Development will conduct a risk assessment as to whether the brand can be given a grace of 12 months to show compliance. If a grace period is not granted, then the brand is required to make all required improvements and actions before a certificate can be issued to them.

After 12 months, if an approved a grace period exceeds the due date, then the verifier shall contact TCO Development and a course of action will be decided after talking first with the brand owner.

B.7.1.3 The verification process

B.7.1.3.1 Proof documentation to be submitted to verifier approved by TCO Development

1. Submitting the code of conduct

The Brand owner shall submit a copy of their code of conduct signed (on the document copy or declaration of identity) by the SMR (or higher ranking member of the company) to an approved Social Reviewer. If the Code of conduct has not changed since last time it was reviewed the brand does not have to send it again. In this instance the SMR shall declare this.

SA8000: If the brand owners head office is certified according to SA8000 then the code of conduct does not have to be reviewed by the Social Reviewer. It is enough to send a copy of the SA8000 certificate to the Environmental Verifier. It is enough to send a copy of the SA8000 certificate to the verifier and the Code of conduct with the application to TCO Development.

2. Submitting the proof of the supply chain being informed of the code of conduct.

The Brand owner shall submit a description on how their first tier manufacturing facilities of TCO Certified products are informed of their code of conduct for review by an approved Social Reviewer.

SA8000: If the brand owners head office is certified according to SA8000 then a description does not have to be reviewed by an approved Social Reviewer. It is enough to send a copy of the SA8000 certificate to the Environmental Verifier and the description with the application to TCO Development.

3. Submitting the annual factory list

The Brand owner shall submit an annual list of all first tier manufacturing facilities of TCO Certified products to the Environmental Verifier and TCO Development. The list shall show the factory name, address, date of conducted audit, date of planned audit and type of audit. Each factory shall have an audit date assigned to it. All these audits may be first, second or third party audits (at least one of the audits shall be 3rd party and have been conducted within 12 months from the date the list is submitted). The list shall show that all factories have or will be audited once over a 3 year period.

4. Submitting the annual third party audit report

The Brand owner must submit one annual third party audit report carried-out at a first tier manufacturing facility of TCO Certified smartphones for review by an approved Social Reviewer. The audit report shall at least cover the criteria in A.7.1 of TCO Certified and be of equal quality as an EICC audit. When possible the audit report shall be from a different first tier manufacturing facility than the previous years unless otherwise specified by TCO Development.

SA8000: If the first tier manufacturing facility is certified according to SA8000 then the third party audit report does not have to be reviewed by an approved Social Reviewer. It is enough to send a copy of the SA8000 certificate to the Environmental Verifier and a copy of the audit report with the application to TCO Development.

5. Submitting the annual corrective action plan (CAP) if relevant.

The Brand owner must submit one corrective action plan (CAP) for review for any non-conformity found in the submitted audit report to an approved Social Reviewer

SA8000: If the first tier manufacturing facility is certified according to SA8000 then the CAP does not have to be reviewed by an approved Social Reviewer. It is enough to send a copy of the SA8000 certificate to the Environmental Verifier and a copy of the CAP with the application to TCO Development.

B.7.1.3.2 On-site inspection initiated by the Brand owner (Social revision)

In accordance with the compliance options under A.7.1 the Brand owner shall provide a third party conducted social audit and a CAP for any non-conformities carried out at one of their first tier facilities producing TCO Certified products.

The following applies:

- The Brand owner may choose the third party Audit firm.
- Third party auditors used by the Brand owner to carry-out the factory inspection and issue the report shall have documented experience of carrying out social auditing. The auditor should have undergone the SA8000 Advanced Auditor Training or an equivalent training course
- A third party is considered to be a person or body that is recognised as being independent of the parties involved, as concerns the issue in question. Parties involved are normally the Brand owner (first party) and purchaser (second party).

B.7.1.3.3 Review of the proof documents

The approved Social Reviewer will evaluate the documents according to the following principles.

- **Code of conduct:**
 - The code of conduct shall be considered consistent with the ILO:s eight Core Conventions, art 32 in UN:s Convention on the Rights of the Child, the health and safety legislation in force in the country of manufacture, and the labor law, including rules on minimum wage and the social security protection in the manufacturing country
 - The contents of the code of conduct shall have been adopted by the Board and addressed by management.
 - The code of conduct shall relate to the manufacturing of the specific product being certified.
- **Supply chain being informed of the code of conduct:**
 - Examples may be that the Brand Owner has translated the Code of Conduct into local languages. This shows that the company has made efforts so that management and employees are able to be informed about the code's content in their own language.
Or the company has conducted training on the Code for employees and/or management at production facilities.
 - Another common way to inform production facilities can be to have them fill out a questionnaire (self-assessment) on compliance with the code.

- **Audit report reviews:** Central to the compliance options is the review of the factory audit report conducted by a third party Social Reviewer approved by TCO Development. Audit reports sent for review shall not be older than 12 months. It shall be authentic, conducted by an auditor with the correct competence and cover the relevant manufacturing site.
- **Corrective Action Plan (CAP):** If there were findings during the factory inspection then a CAP (remedial plan plus timelines and evidences) for the findings shall be submitted for review together with the audit report. This CAP will be evaluated for effectiveness by the Social Reviewer. A judgement on the remedial effectiveness and a summary will be given in the Verification Report issued by the Social Reviewer.
- **The approved Social Reviewer:** All supporting documentation shall be reviewed by a third party approved by TCO Development. This reviewer shall not be the same person that conducted the factory audit. The reviewer has the authority to review and verify the following types of documents:
 - Code of conducts
 - Communication of the code of conduct
 - Audit reports,
 - CAPs,
 - SA8000 certificates/audits
 - Supporting documentation

After the review the Social Reviewer issues the Audit Report Verification document to the Brand owner or the applicant. It is the final responsibility of the Brand owner to submit this document to TCO Development to show that they are aware of the situation at the factory and accept the report.

A verification report issued by the approved Social Reviewer is valid for 12 months from the date of the Brand owner's first issued TCO Certified certificate covering mandate A.7.1. The verification must be updated annually. The list of approved Social Reviewers is found at: www.tcodevelopment.com

B.7.1.3.4 On-site inspection initiated by TCO Development (Spot-checks)

TCO Development reserves the right to require full audit reports and conduct or commission on-site inspections at first tier manufacturing facilities to verify that the Brand owner is fulfilling the obligations according to this mandate. The planning of social audits will be done in cooperation with the Senior Management Representative appointed by the Brand owner. Audits will be implemented by TCO Developments partner organisation for the actual geographic region. Social audits initiated by TCO Development will be realized on a judgement sample basis, in each case decided by and financed by TCO Development. Results from the audits will be shared with audited factory (both management and worker representatives) and all the brand owners listed as using the audited factory in order to create a combined effort toward implementing the CAP. For TCO Development, the spot-checks and all other submitted reports contain valuable information on social performance, making it possible to translate findings into metrics and then measure improvement through code of conduct and audit methodology.

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B.7.2 Senior Management Representative

B.7.2.1 General Clarifications

The mandate underlines the importance for the Brand owner to appoint a senior management representative who, irrespective of other responsibilities, has the authority to ensure that the requirements of this mandate are met. This aims to create an open and transparent dialogue between TCO Development and top management at the brand owner company.

B.7.2.2 SMR review

The intention of the review of the SMR is to ensure that the SMR has the necessary authority and is working in a structured way in implementing the Brand owner's code of conduct. The SMR may bring assistants to the review meeting if needed. The following questions will be asked of the SMR:

1. The SMR will be asked questions on how the communication of the Brand owner's code of conduct to first tier factories has been done. (See point 1 of the self-assessment questionnaire)
2. The SMR will be asked questions about the Brand owner's audit schedule and about some of the audits that have been done. (These reports may be first, second or third party audits).
3. The SMR will be asked to show examples of progress for some corrective action plans.
4. The SMR will be asked to fill in the self-assessment questionnaire on proactive work (point 2-19) by TCO Development (B.7.2.2.1) prior to the review and explain in more detail the Brand owner's proactive work to implement their code of conduct during the review. The SMR might be asked to show supporting documentation for this. The self-assessment questionnaire does not have to be reviewed by a verifier approved by TCO Development. It is sent directly to TCO Development prior to the SMR review.

The Questionnaire and Guidelines for the assessment are public and can be downloaded at: www.tcodevelopment.com

As long as the SMR is able to show the relevant documents and explain the Brand owner's structured work to implement their code of conduct the review is accepted. If the SMR is not able to get hold of necessary documents or if he/she cannot explain about the Brand owner's structured work to implement their code of conduct the review is not accepted.

The review may be done through an online meeting. However, it is necessary that documents can be shared (during or prior to the meeting) and that the communication quality is adequate for full understanding.

If it is not possible to set up an online meeting that fulfils the requirements above or if the review does not give an acceptable result then TCO Development has the right to require the SMR to be reviewed by a third party auditor paid by the brand owner. The report from this review is then sent to TCO Development.

TCO Development also has the right to require a face to face review of the SMR. For this type of review, TCO Development will cover their own costs.

B.7.2.2.1 Self-assessment questionnaire on proactive work

The self-assessment questionnaire is provided by TCO Development and is a set of questions covering such areas as the implementation of the Brand owner's code of conduct, auditing and follow-up of social criteria, trade union rights and representation, activities to avoid discrimination and create an open dialogue with suppliers.

The Brand owner SMR is responsible for answering all questions and providing documented proof of how it supports its suppliers in these areas. Each answer is colour graded full- (Green), partial- (Yellow) or non- (Red) compliance level.

In order to highlight the need for progressive improvement and level the commitment between different brands, the questionnaire is required to be submitted annually during the SMR review. However, the self-assessment questionnaire does not have to be reviewed by a verifier approved by TCO Development. It is sent directly to TCO Development prior to the SMR review.

There is currently no minimum level required for the proactive work reported in the questionnaire (point 2-19) in this generation of TCO Certified. The data collected in the questionnaire on proactive work will be used to risk assess Brand owners for the spot-check program. In this program TCO Development make annual third party factory audits according to the code of conduct on a number of Brand owners first tier factories. The questionnaire is also intended to measure the progress in the industry and to be used as a basis for future criteria development in this area.

The Questionnaire and Guidelines for the assessment are public and can be downloaded at: www.tcodevelopment.com

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B.7.3 Conflict minerals

B.7.3.1 General Clarifications

The mandate mainly focuses on the 3T+G minerals which are being mined within the Democratic Republic of Congo (DRC) region and used in a wide range of computer products. Once refined the origins of minerals are hard to trace, therefore we are recommending the importance of participation with legitimate in-region initiatives that directly benefit people in the conflict-affected regions. However, since the number of participants for in-region initiatives are low at this stage we also accept involvement in smelter/refinery certification programs since they complement in-region initiatives. Also we approve brands that can satisfactorily provide proof that they have adopted the *OECD Due diligence guidance*, since it provides a framework for brands to ensure that they respect human rights and do not directly or indirectly contribute to conflict.

B.7.3.2 Background information about the initiatives

TCO Development is demanding brands address the conflict mineral concerns of the private and public sector while delivering solutions that benefit those involved in the responsible minerals trade in the DRC. TCO Development considers participation in the following initiatives facilitates that goal. It is TCO Development's opinion that the OECD Due Diligence Guidance for Responsible Supply Chain of Conflict-Affected or High-risk Areas is the most ambitious approach in the list.

- *The OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas* ("the Guidance"). Brands require suppliers to disclose their sourcing origins of conflict minerals by using a questionnaire template such as the EICC 'Conflict Minerals Reporting Template' or similar in order to prevent the potential use of conflict minerals.
- *iTSCi* - ITRI represent tin producers and smelters. This program is a supply chain initiative to verify and trace minerals from the mine to smelter (traceability tagging). Although full membership is focused on upstream companies (Mining, Smelters etc) an Associate membership for downstream companies exists (manufacturers etc). Associate members contribute to the financing of the iTSCi program and so keep informed of initiative activities, specific mining sites whilst they support development in Africa.

For more information:

https://www.itri.co.uk/index.php?option=com_zoo&view=item&Itemid=191

- *Conflict-free Tin Initiative* (CFTI); sources conflict-free tin from the South Kivu province of DRC that implements the ITRI Tin Supply Chain Initiative (iTSCi) the due diligence and traceability system
- *The Public-Private Alliance for Responsible Minerals Trade* (PPA) is a multi-sector and multi-stakeholder initiative that provides funding and support to systems that trace and certify mineral supply chains in the DRC and Great Lakes Region. <http://www.resolv.org/site-ppa/>
- Other relevant in-region initiative. Initiatives not given in the list but prove active commitment to an initiative that aims at increasing legitimately sourced minerals.

Examples of other relevant initiatives that are approved:

- *Solutions for Hope* (SfH); sources conflict-free tantalum from the Katanga province of DRC (incorporates the iTSCi process and CFS program).
- *The Certified Trading Chains* initiative (CTC) is a program supported by the German government and certifies mines to defined performance standards
- Member of the EICC & GeSi *Conflict-Free Sourcing Initiative* (CFSI). Members contribute to a number of tools and resources including the Conflict Minerals Reporting Template; supporting in-region sourcing schemes and the Conflict Free Smelter Program (identification of Smelters and Refiners that source conflict-free minerals).

B.7.3.3 The verification process

At least one of the options in the mandate box shall be marked. Every initiative the Brand is a participant in shall be provided. The following shall occur before the verifier may issue a verification of compliance.

- The template shall be completed by the responsible person at the brand owner company.
- The brand shall complete the TCO Certified Conflict Mineral Questionnaire and submit it and any required supporting documents for review. The verifier then assesses compliance and issues the verification report.

Supporting documents

- If the brand has a management system covering conflict minerals within its supply chain which it states are based on the OECD Due Diligence guidelines, then a supporting document that outlines those due diligence measures shall be submitted. Example of proof are:
 - Due Diligence Roadmap, Sustainability report or Conflict Mineral Report asserting the OECD five step framework.
 - Link to where information/findings are posted on the brand's website.
- The brand shall provide a copy of its conflict mineral policy and state where the information is made public.
- If the brand is part of an in-region initiative or the EICC CFSI, then supporting documents or links to relevant websites shall be provided to the approved verifier in order for them to verify participation.
- If the brand marks the option 'Other relevant initiative', then the name of the initiative shall be entered into the template and information on the initiative (or website) shall be submitted to the verifier and they will contact TCO Development in order to make a joint assessment before it can be accepted as an option. Although unlikely, any additional review fee entailed for an extra assessment will be charged to the applicant, after receiving the applicant's consent.
- When the application is satisfactory, the verifier notes on the verification report the fulfilled options and the type of supporting documentation.

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